

09/20/00  
JCS85 U.S. PTO

09-21-00

A

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington D.C. 20231  
Box Patent Applications

Case Docket No. FUSA 17.777  
Filed by Express Mail  
(Receipt No. EL522335455US)  
on September 20, 2000  
pursuant to 37 CFR 1.10  
by Lydia Gonzalez

JCS85 U.S. PTO  
09/20/00  
09/666485

S I R:

Transmitted herewith for filing is: ☒ a new application  
☐ a c-i-p application of S.N. \_\_\_\_\_ filed \_\_\_\_\_

Inventor(s): Koji TEZUKA; Takao OGURA; Kohei ISEDA

For COMMUNICATION NETWORK MANAGEMENT SYSTEM

Enclosed are:

- ☒ 19 sheets of drawings.(Figs. 1-7,8A-8I,9,10,11A-11D,12,13A-13D,14-19)  
☒ Specification, including claims and abstract ( 50 pages)  
☒ Declaration  
☒ An assignment of the Invention to FUJITSU LIMITED  
☒ A certified copy of \_\_\_\_\_ Japanese \_\_\_\_\_ Application No(s). 11-322015  
☒ An associate power of attorney  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27  
☒ Post card  
☒ Recording fee (as indicated below)  
☐ Information Disclosure Statement, PTO-1449, copies of \_\_\_\_\_ references  
☐ Other \_\_\_\_\_  
☐ Other \_\_\_\_\_

	Col. 1	Col. 2
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	8-20 =	0
INDEP CLAIMS	1-3 =	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$345
x 9 =	\$
X 39 =	\$
x 130 =	\$
TOTAL	\$

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$690
x 18 =	\$
x 78 =	\$
x 260 =	\$
TOTAL	\$690

☐ Please charge our Deposit Account No. 08-1634 the amount of \_\_\_\_\_ to cover the filing fee and recording fee (if any)

☒ A check in the amount of \$730.00 to cover the filing fee and the recording fee (if any) is enclosed.

☒ The Commissioner is hereby authorized to charge payment of any fee associated with this communication or credit overpayment to Deposit Account No. 08-1634. A duplicate copy of this sheet is enclosed.

Helfgott & Karas, P.C.  
60<sup>th</sup> Floor  
Empire State Building  
New York, New York 10118-6098  
(212)643-5000

Date: 9/20/00

Any fee due with this paper, not fully covered by an enclosed check, may be charged on Deposit Acct No. 08-1634

Respectfully Submitted,

- ☐ Aaron B. Karas, Reg. No. 18,923  
☒ Samson Helfgott, Reg. No. 23,072  
☐ Leonard Cooper, Reg. No. 27, 625  
☐ Linda S. Chan, Reg. No. 42,400  
☐ Jacqueline M. Steady, Reg. No. 44,354  
☐ Harris A. Wolin, Reg. No. 39,432  
☐ Brian S. Myers, Reg. No. 46,947

Filed by Express Mail  
(Receipt No. EL52233545548)  
on 9/20/00  
pursuant to 37 C.F.R. 1.10.  
by 6025062

SPECIFICATION

TITLE OF THE INVENTION

COMMUNICATION NETWORK MANAGEMENT SYSTEM

BACKGROUND OF THE INVENTION

- 5           This invention relates to a communication network management system and, more particularly, to a communication network management system for managing and operating a network in accordance with a business policy or user policy.
- 10           Communication networks employ a variety of network technologies, e.g., SDH, ATM, FR, WDM and IP. In addition, communication networks are becoming increasingly more complicated in form and are divided into a wide variety of domains (subnetworks) as in the
- 15           manner of access networks, backbone networks, SDH (Synchronous Digital Hierarchy) networks and WDM (Wavelength Division Multiplexing) networks. These domains are managed by an EMS (Element Management System) and these are in turn managed by an NMS (Network
- 20           Management System). The NMS and EMS both have a manager/agent architecture defined by the ISO. The NMS transmits an operating command to a manager agent within the EMS using a prescribed management protocol, e.g. the CMIP (Common Management Information Protocol), and the
- 25           status of a domain is acquired by the EMS to thereby manage the overall network.

Fig. 16 is a diagram useful in describing a system management model and illustrates the relationship

000250" 5849950

between a manager/agent architecture and the CMIP and managed objects (MO). A manager M operates managed objects MO, which are managed by an MIB (Management Information Base) within an agent A.

5 More specifically, the managed objects MO are obtained by using an object-oriented technique to model a network resource such as a line, switch, multiplexer and virtual communication path in the domain to be managed. A variety of status variables possessed by the  
10 network resource are referred to as the attributes possessed by the managed objects MO. Network management is for operating these managed objects MO. Operations include the following:

- (1) creation of a managed object MO (M-CREATE);
- 15 (2) deletion of a managed object MO (M-DELETE);
- (3) reading of an attribute of a managed object MO (attribute acquisition) (M-GET);
- (4) setting or changing of an attribute of a managed object MO (M-SET);
- 20 (5) implementation of a function possessed by the managed object MO (M-ACTION); and
- (6) receiving an event report from a managed object MO (M-EVENT-REPORT).

Though the manager M is a mechanism which plays the  
25 main role in network management, it does not directly operate a managed object MO; it is an agent A that operates the managed objects MO. For this reason, the manager M uses the management protocol CMIP to send an

0000260" 53499960

operating command to the agent A, thereby operating the network indirectly to implement management. It is possible with this management operation to adopt a plurality of managed objects MO as objects of control simultaneously by a single management operation.

Fig. 17 is an explanatory view illustrating the concept of a basic network hierarchy in network management. In accordance with a TMN (Telecommunication Management Network) defined by the ITU-TM.3000 series, network management functions are classified into the following four layers and the roles thereof are clarified:

- (1) element management layer EML;
- (2) network management layer NML;
- (3) service management layer SML; and
- (4) business management layer BML (not shown).

Element management systems (EMS) 11, 12 are each connected to one or more network elements (NE) 1 ~ 4 within corresponding domains and control the managed objects MO to manage the network elements NE and the domains (subnetworks SN) constituted by the network elements. A network management system (NMS) 21 is connected to one or a plurality of element management systems (EMS) 11, 12 and manages the network elements of the overall network via these element management systems.

A service management system (SMS) 31 is connected to the network management system (NMS) 21 and, in

000260-5249950

5

15

25

management information base. The higher and lower layers have a manager M - agent A relationship, and communication is performed via the management protocol CMIP.

5           The network management system (NMS) 21 stores the managed object MO, which is for managing network information that connects the domains, in the management information base MIB and functions as the agent A, which supplies network information to the service management  
10 system (SMS) 31. Further, the network management system (NMS) 21 behaves as the manager M with respect to the element management systems (EMS) 11, 12 and implements network management by operating the managed object MO, which has been stored in the management information base  
15 MIB, via the agent function of the element management systems (EMS) 11, 12. Further, through use of the user interface function, the network management system (NMS) 21 makes it possible to command the manipulation of network information.

20           The element management systems (EMS) 11, 12 store the managed objects MO for managing the domains in the management information bases MIB and function as agents A for supplying network information to the network management system (NMS) 21 of the higher layer.

25           Further, the element management systems (EMS) 11, 12 behave as the managers M with respect to the network elements (NE) 1, 2, ... and perform network management within a specified range by operating the managed

0966485-092000

objects MO, which have been stored in the management information bases MIB, via the agent functions of the network elements 1, 2, ....

In order to exploit network resources effectively, there is now need for a system which can implement network management in accordance with a business network operations policy. Conventionally, public networks which provide leased lines to businesses furnish network services of uniform high reliability and quality in compliance with the wishes of users. Recently, however, there has been growing demand for a network service which, in accordance with business policy, makes it possible to designate the quality of a public network, or to change the quality thereof dynamically, in conformity with the network quality desired by individual users. To satisfy this demand, a service has been made available in which a Service Level Agreement (SLA) is concluded between a public network and a user and the public network adjusts the user network quality on the basis of the SLA.

Practical public networks are implemented using various network technologies adapted to the traffic characteristics of users, namely network technologies such as IP, FR (Frame Relay), SDH and ATM (see Fig. 18). In such networks, it becomes necessary to change the SLA dynamically if user traffic changes or increases in an IP network, by way of example. In accordance with the agreement with the user, therefore, it is necessary to

000260" 5849950

convert the SLA information to the parameters of the network (IP, FR, SDH, ATM, etc.) being used in the public network.

Communication traffic through a plurality of domains (subnetworks) is dependent upon the QoS (Quality of Service) of the traversed domains. For this reason, there are cases where QoS requirements cannot be satisfied fully depending upon applications where quality is important, such as TV conference and voice applications, real-time applications, etc. In order to satisfy QoS requirements using these applications, it is necessary to request end-to-end quality, select end-to-end domains (subnetworks) that can provide the demanded quality assurance and carry out QoS policy provisioning. Here "provisioning" means establishing paths and networks. With QoS policy provisioning, it is necessary to convert SLA information, e.g., maximum and minimum end-to-end speeds required by the user, to parameters (cell rate in case of ATM and containers in case of SDH) conforming to the network technology (IP, FR, SDH, ATM, etc.)

With regard to SLA heretofore, general agreements such as guarantees of usable time (availability) have already been introduced. However, it is required that parameters dependent upon a specific network technology such as IP, FR, SDH or ATM be set in a data format that is dependent upon the network technology by the information system administrator of the business. It is

000260" 5249360



000260 5249960

necessary, therefore, to have an understanding of the networks on both the company and public-network sides. This means that one must have the know-how to deduce specific parameters conforming to the network from the abstract requirements of the user, and hence obstacles are confronted when making changes dynamically. For example, deducing the parameters of the network takes time. In addition, management of a public network also requires a maintenance man who knows how to ascertain the actual status of the network.

#### SUMMARY OF THE INVENTION

Accordingly, an object of the present invention is to so arrange it that abstract user requirements concerning a network, i.e., abstract policy information, from the user of the network can be converted automatically to parameters that conform to the network technology (this being referred to as "policy detailing").

Another object of the present invention is to make it possible to reduce the know-how and learning necessary for network settings and to speed up and simplify network settings on the user side, and to make it possible to shorten the time necessary to change to a new service on the side of the public network, as a result of which the cost of changing a network configuration is reduced.

A network system in accordance with the present invention has (1) first conversion means for converting

5 converting the network-technology-dependent parameters,  
which have been obtained by the conversion by the first  
conversion means, to parameters dependent upon a network  
element that has been specified by a target parameter  
contained in policy information.

20           The second conversion means has (1) policy  
enforcement means for receiving network-technology-  
dependent parameters from a policy administration  
portion serving as the first conversion means, and  
setting, in a network element, element-dependent  
25 parameters obtained by converting the network-  
technology-dependent parameters; storage means for  
storing conversion rules used when the network-  
technology-dependent parameters are converted to

element-dependent parameters; and (3) conversion means  
for selecting a conversion rule conforming to element  
type and converting the network-technology-dependent  
parameters to element-dependent parameters using the  
5 selected conversion rule.

With regard to the first conversion means, the  
conversion-rule storage means provides conversion rules  
classified by network technology, and the conversion  
means selects a conversion rule based upon a network  
10 technology and converts the action parameters to  
network-technology-dependent parameters using the  
selected conversion rule.

Further, the conversion-rule storage means stores  
the following as conversion rules for converting the  
15 action parameters to network-technology-dependent  
parameters: (1) a first parameter conversion rule  
relating to adaptation, (2) a second parameter  
conversion rule relating to monitoring, and (3) a third  
parameter conversion rule relating to protection; the  
20 policy disassembling means disassembles action  
parameters into (1) a parameter relating to adaptation,  
(2) a parameter relating to monitoring and (3) a  
parameter relating to protection; and the conversion  
means makes the conversion to the network-technology-  
25 dependent parameters using the first to third parameter  
conversion rules.

The first conversion means is further provided with  
policy storing means for storing policies (network-

000260" 53499960

technology-dependent parameters) obtained by conversion, wherein a policy conforming to new action parameters is acquired from the policy storing means.

With regard to the second conversion means, the  
5 conversion-rule storage means stores conversion rules, selects a prescribed conversion rule based upon element type and converts the network-technology-dependent parameters to element-dependent parameters using the selected conversion rule.

10 Further, with regard to the second conversion means, the conversion-rule storage means adds on and stores a conversion rule whenever an element function is added on or changed, and the conversion means selects a prescribed conversion rule upon taking the function of a  
15 network element or the number of versions of a network element into consideration and converts the network-technology-dependent parameters to the element-dependent parameters using the selected conversion rule.

In accordance with the arrangement described above,  
20 network-related abstract requirements from a user, namely abstract policy information, can be converted to network-technology-dependent parameters automatically. Further by performing this policy detailing automatically, know-how and learning necessary for  
25 network settings can be reduced and network settings can be speeded up and simplified on the user side, and the time necessary to change to a new service can be shortened on the side of the public network, thereby

000260" 52199360

Other features and advantages of the present invention will be apparent from the following

drawings, in which like reference characters designate the same or similar parts throughout the figures thereof.

### BRIEF DESCRIPTION OF THE DRAWINGS

10            Fig. 1 is a block diagram illustrating the configuration of a communication network management system according to the present invention;

Fig. 2 is a diagram useful in describing the generation of a QoS capability view and QoS policy provisioning;

Fig. 3 is a block diagram illustrating the construction of a policy detailing function according to the present invention;

Fig. 4 is a block diagram illustrating the  
20 construction of a policy detailing function which  
utilizes past results of conversion;

Fig. 5 is a diagram useful in describing a conversion to element-dependent parameters;

Fig. 6 is a diagram useful in describing conversion  
25 to parameters conforming to a function supported by an  
element;

Fig. 7 is a flowchart showing the operation of a policy administration function PAF;

Figs. 8A to 8I are diagrams useful in describing data in various parts of the operation flowchart of the PAF;

Fig. 9 is a processing flowchart (in the case of an ATM) of an action conversion rule of the PAF;

Fig. 10 is a processing flowchart (in the case of an SDH) of an action conversion rule of the PAF;

Figs. 11A to 11D show examples of a conversion of a monitor request and duplexing request in ATM and SDH;

Fig. 12 is a processing flowchart of a policy enforcement function PEF;

Figs. 13A to 13D are diagrams useful in describing data in various parts of the processing flowchart of the PEF;

Fig. 14 is a processing flowchart of a conversion rule for changing an object to be set;

Fig. 15 is a processing flowchart of a conversion rule for changing quality class;

Fig. 16 is a diagram useful in describing a system management model according to the prior art;

Fig. 17 is a diagram useful in describing the concept of network hierarchy according to the prior art;

Fig. 18 is a diagram showing an example of the configuration of a communication network according to the prior art; and

Fig. 19 is a diagram useful in describing the relationship among systems.

DESCRIPTION OF THE PREFERRED EMBODIMENT

000260" 5249960

(A) Configuration of communication network  
management system

Fig. 1 is a block diagram illustrating the  
configuration of a communication network management  
5 system according to the present invention, and Fig. 2 is  
a diagram useful in describing the generation of a QoS  
capability view and QoS policy provisioning in this  
network management system according to the present  
invention. Here QoS is the abbreviation of Quality of  
10 Service.

The network management system NMS which performs  
end-to-end network management is connected to a  
plurality of element management systems EMS<sub>i</sub> ( $i = 1, 2,$   
3, ...). Since network technologies such as ATM, SDH,  
15 WDM and IP have functions that differ from one another,  
an element management system EMS<sub>i</sub> is provided for each  
network technology. Since subnetworks SN1 ~ SN4 for  
ATM, WDM and SDH are mixed together, the network shown  
in Fig. 1 is provided with (1) an ATM element management  
20 system EMS1, (2) an SDH element management system EMS2  
and (3) a WDM element management system EMS3 as the  
element management systems. The ATM element management  
system EMS1 manages the subnetworks SN1, SN4, the SDH  
element management system EMS2 manages the subnetwork  
25 SN2 and the WDM element management system EMS3 manages  
the subnetwork SN3. The network management system NMS  
and the element management systems EMS1 ~ EMS3 have a  
manager - agent relationship.

Since the network management system NMS performs end-to-end network management, it manages each domain and performs layer management between network technologies. Further, the network management system NMS executes a QoS policy by collecting and giving notification of network information, which contains QoS information, from each of the element management systems EMS1 ~ EMS3. Managed objects in the network management system NMS are constituted by (1) function objects (E-RC, LN-RC, E-QP3, LN-QP3) for exercising network control and (2) information objects [TP (termination points), SN (subnetworks), E-QoS view, SN-QoS, etc.]]. The information objects are present in layer units (ATM, SDH, WDM layers, etc.).

The element management systems EMS<sub>i</sub> ( $i = 1, 2, 3, \dots$ ) are provided for respective ones of the network technologies, as mentioned earlier, manage the domains and elements and report subnetwork information to the network management system NMS, which is the higher layer. Managed objects in an element management system EMS<sub>i</sub> are constituted by (1) function objects (SN-RC, NE-configuration manager) which exercise control of the subnetworks and (2) information objects (NE1, NE2, SN-view, etc.) for managing network information, etc. In one example, the element management system EMS1 manages the subnetworks SN1 and SN2. Here are illustrated information objects NE1, NE2 and SN-view in a case where a path which satisfies a prescribed QoS condition is



established between the network elements NE1 and NE2 of  
the subnetwork SN1. The information object NE2 (1)  
converts the internet protocol IP of a business network  
(IP network) to the ATM protocol of a public network  
5 using an AAL (ATM adaptation layer) unit 101, (2)  
establishes ATM VC traffic in a vcTP (virtual channel  
termination point) 102, (3) accommodates (multiplexes)  
several ATM VC traffics on the same VP in a vpTP  
(virtual path termination point) unit 103, and (4) using  
10 a cc (cross-connection) unit 104, cross connects the  
multiplexed VP traffic and transmits the result via a  
vpTP unit 105. The information object NE1 (1)  
demultiplexes the VC traffic, which has been multiplexed  
on the same VP, by a vcTP unit 106, (2) cross connects  
15 the demultiplexed VC traffic using a cc unit 107, and  
(3) transmits the result via a vcTP unit 108.

Further, the element management system EMS1 is  
provided with a policy detailing function portion 100.  
The latter receives abstracted network-technology-  
20 independent policy information from the network  
management system NMS, extracts operation parameters  
(action parameters) from the abstracted policy  
information, converts the operation parameters to  
network-technology-dependent and element-dependent  
25 parameters and sets these parameters in the network  
elements. The policy detailing function portion 100 has  
a policy administration function portion PAF and a  
policy enforcement function portion PEF. The policy

000260" 58499360

administration function PAF converts operation  
parameters contained in the abstracted policy  
information to network-technology-dependent parameters,  
and the policy enforcement function PEF converts the  
5 network-technology-dependent parameters obtained by the  
above-mentioned conversion to parameters dependent upon  
element type (i.e., element-dependent parameters) and  
sets these parameters in the element. One policy  
administration function PAF is provided and shared by  
10 network technologies. Policy enforcement functions PEF  
are provided for corresponding ones of the network  
technologies.

An SN-RC (Subnetwork Resource Configurator)  
provided in each element management system EMSi and an  
15 LN-RC (Layer Network Resource Configurator) and E-RC  
(End-to-end network Resource Configurator) provided in  
the network management system NMS each generate a QoS  
capability view for managing QoS capability (i.e., for  
managing a path that satisfies the QoS requirement) (see  
20 Fig. 2).

The SN-RC generates a QoS capability subnetwork  
view of each domain. The LN-RC generates a QoS  
capability layer network view in layer-network units  
(ATM layer, WDM layer, SDH layer, etc). That is, the  
25 LN-RC acquires network information from the SN-RC of the  
element management system EMSi and generates a QoS  
capability layer network view (a set of QoS capability  
subnetwork views of each layer) in layer-network units.

000260" 5349960

That is, the E-RC generates an end-to-end QoS capability view obtained by connecting a plurality of QoS

Fig. 2, an end-to-end QoS capability view between terminals TP<sub>a</sub>, TP<sub>z</sub> is illustrated.

When the configuration of a network is changed, as by adding on or removing elements, it is necessary to change the QoS capability view. To accomplish this, the network management system NMS and element management system EMSi are provided with a QP<sup>3</sup> (QoS Policy Provisioning Performer).

An E-QP<sup>3</sup> (End-to-end QoS Policy Provisioning Performer) provided in the network management system NMS selects a plurality of domains (subnetworks) which construct an end-to-end connection that satisfies the QoS policy requested by the user. A QoS policy is information (abstracted QoS policy information) for specifying end-to-end QoS (Quality of Service) in a format that is independent of network technology. A QoS policy requesting a maximum speed of 10 Mbps and a minimum speed of 3 Mbps between the terminals TPa, TPz is given in the following format:

From:TPA To:TPz, maxRate:10 Mbps minRate:3 Mbps

If a plurality of subnetworks exist in the same layer, an LN-QP<sup>3</sup> (Layer Network QoS Policy Provisioning Performer) performs selection of domains (subnetworks)

that satisfy the QoS policy requested by the user. This is performed through a method similar to the domain selection of the E-QP<sup>3</sup>.

An SN-QP<sup>3</sup> (Subnetwork QoS Policy Provisioning Performer) provided in the element management system EMSi performs QoS policy provisioning in subnetworks that have been selected by the E-QP<sup>3</sup> and LN-QP<sup>3</sup>. In other words, the SN-QP<sup>3</sup> sets up a path that satisfies the QoS policy. The SN-QP<sup>3</sup> is a function contained in the policy detailing function 100 (policy administration function PAF and policy enforcement function PEF) shown in Fig. 1.

Element setting (QoS policy provisioning) based upon a QoS policy requested by the user is carried out in the manner described below.

The user enters a QoS policy for specifying an end-to-end QoS in a format independent of the network technology.

The E-QP<sup>3</sup>, LN-QP<sup>3</sup> of the network management system select one or more domains (subnetworks) which construct an end-to-end connection that satisfies a QoS policy requested by the user and deliver the domain specifying information and QoS policy information to the policy administration function PAF of each element management system EMSi ( $i = 1, 2, \dots$ ).

Using a conversion rule conforming to the network technology of a domain indicated by the domain specifying information received from the network

000250" 53499960

management system NMS, the policy administration  
function PAF of each element management system EMSi  
converts the received QoS policy to an operation command  
that is dependent upon the network technology (a  
5 technology-dependent parameter) and delivers this  
technology-dependent parameter to the policy enforcement  
function PEF corresponding to this domain.

Using a conversion rule conforming to the type of  
network element to be set, the policy enforcement  
10 function PEF converts an action command (which contains  
a technology-dependent parameter) received from the  
policy administration function PAF to an element-  
dependent parameter and sets this parameter in the  
element. In order to set an element-dependent parameter  
15 in a network element that is to be set, the attribute of  
a managed object MO that conforms to the network element  
managed by the element management system EMSi is changed  
by the element-dependent parameter and the NE-  
configuration manager sets the changed attribute in the  
20 actual network element that is to be changed.

(B) Policy detailing function

(a) Construction of policy detailing function

Fig. 3 is a block diagram showing the construction  
of the policy detailing function 100.

25 The policy detailing function 100 is provided  
within the prescribed element management system EMS1,  
generates a parameter 202 dependent upon the network  
technology and network element to be set from abstracted

policy information 201 provided by the network management system NMS and sets the parameter 202 in the network element. The abstracted policy information 201 is information that is not dependent upon network  
5 technology and has information such as (1) a target (setting point of the public network), (2) a condition (date and time of operation) and (3) an action (details of operation).

The policy detailing function 100 has a policy  
10 administration function PAF 110 for converting a network-independent action parameter contained in the abstracted policy information 201 to a network technology-dependent parameter, and a policy enforcement function PEF 120 for converting a technology-dependent  
15 parameter obtained by the above-mentioned conversion to a parameter (element-dependent parameter) 202 that is dependent upon the network element specified by the target information. A single common policy administration function PAF is provided for each network  
20 technology but policy enforcement functions PEF are provided for corresponding ones of the network technologies.

(b) Policy administration function PAF

The policy administration function PAF has (1) a  
25 policy disassembling unit 111, (2) a technology-dependent rule handler 112, and (3) a storage unit 113 for storing a number of conversion rules 113a ~ 113n for respective network technology for converting action

000260" 58499950

parameters to technology-dependent parameters.

000260" 55199360

The policy disassembling unit 111 receives abstracted policy information 201 domain-by-domain from the network management system NMS, disassembles the abstracted policy information 201, extracts an action parameter, attaches network-technology identification data to the action parameter and inputs the action parameter to the technology-dependent rule handler 112. If an action parameter is input thereto, the technology-dependent rule handler 112 selects a conversion rule conforming to the network technology, converts the action parameter to a parameter (technology-dependent parameter) that is dependent upon network technology in accordance with the selected conversion rule, and inputs the parameter obtained to the policy disassembling unit 111. The latter distributes the technology-dependent parameter to the policy enforcement function PEF conforming to the network technology.

If an action parameter is given in the form of maximum band (Mbps), the conversion rule, e.g., an ATM-technology adaptation conversion rule, makes a conversion to the ATM cell rate (cell/s). More specifically, if the network technology is ATM, then it is necessary to convert a datagram from the user accommodated by the IP network to ATM cells. It is required that the band be reserved by the ATM layer taking into consideration (1) the requested maximum band (Mbps) of the datagram, (2) a band required for an AAL

header/footer, and (3) a band for sending and receiving  
OAM cells. By describing and setting the band necessary  
for the ATM-technology adaptation conversion rule, the  
requested maximum band (Mbps) in the IP network is  
5 converted to a peak cell rate (cell/s) in ATM-cell  
units. That is, the ATM-technology adaptation  
conversion rule converts the requested maximum band  
(Mbps) to the peak cell rate (PCR) and converts the  
minimum guaranteed band (Mbps) to the minimum cell rate  
10 (MCR).

The adaptation conversion rule also performs a  
conversion of service category (quality class). For  
example, if service categories contained in extracted  
policy information are full, partial guarantee and best  
15 effort, then these are converted to CBR (constant bit  
rate), GFR (guaranteed frame rate) and UBR (unspecified  
bit rate), respectively. The CBR is a quality class  
which guarantees a fixed-rate band reported from the  
user, the GFR is a quality class which guarantees a  
20 partial band (e.g., a minimum rate), and the UBR is a  
quality class that does not allocate a necessary band in  
advance. According to this class, a cell is transferred  
if a band becomes vacant during transfer and overflow  
cells are discarded if there is no vacancy.

25 (c) Policy enforcement function PEF

Each policy enforcement function PEF provided for a  
corresponding network technology (ATM, SDH, WDM, etc.)  
has (1) a policy enforcement unit 121, (2) an element-

0000260 " 58499960



dependent rule handler 122, and (3) a storage unit 123 which store a number of conversion rules 123a ~ 123n for converting technology-dependent parameters to parameters that are dependent upon the type of network element.

5 Upon receiving a technology-dependent parameter from the policy administration function PAF, the policy enforcement unit 121 inputs the parameter to the element-dependent rule handler 122. The latter selects a conversion rule conforming to the type of element and  
10 converts the technology-dependent parameter to an element-dependent parameter using the selected conversion rule. The policy enforcement unit 121 sets the element-dependent parameter in the network element and enforces the policy.

15 (d) Conversion to technology-dependent parameter

With regard to the policy administration function PAF, the conversion-rule storage unit 113 stores the conversion rules 113a ~ 113n according to technology type and the technology-dependent rule handler 112  
20 selects a conversion rule based upon the technology which implements the network and converts an action parameter to a technology-dependent parameter using the selected conversion rule.

Further, the conversion-rule storage unit 113 in  
25 the policy administration function PAF stores the following, on a per-network-technology basis, as conversion rules for converting action parameters to technology-dependent parameters:

000260" 58499360

(1) a first parameter conversion rule ARL relating to adaptation;

(2) a second parameter conversion rule MRL relating to monitoring; and

5 (3) a third parameter conversion rule PRL relating to protection.

The first parameter conversion rule ARL is for converting a requested band or service category (quality class) to a technology-dependent parameter. The second  
10 parameter conversion rule MRL is for converting a monitor request to a technology-dependent parameter. The third parameter conversion rule PRL is for converting a duplex switching request to a technology-dependent parameter. The policy disassembling unit 111  
15 disassembles an action parameter into the following parameters:

(1) a parameter (band, service category, etc.) relating to adaptation;

(2) a parameter relating to monitoring (namely  
20 whether or not continuity is monitored); and

(3) a parameter relating to protection (namely whether or not a duplex switching is performed).

The technology-dependent rule handler 112 applies the first to third parameter conversion rules to the  
25 respective parameters to make the conversion to the technology-dependent parameters.

(e) Utilization of past results of conversion

The policy administration function PAF is provided

000260-58499960

with a policy storing memory 114, as shown in Fig. 4.  
Technology-dependent parameters obtained by the  
technology-dependent rule handler 112 are saved in this  
memory on a per-end-to-end basis. (1) If the policy  
5 administration function PAF receives policy information  
which includes a partial operation change (e.g., an  
increase in maximum band) end to end, (2) the policy  
administration function PAF converts only the action  
parameter contained in the policy information using a  
10 conversion rule, (3) reads an end-to-end parameter  
(quality class, peak cell rate PCR, minimum cell rate  
MCR) out of the policy storing memory 114, and (4)  
reconstructs a new action command by changing the read-  
out parameter by the parameter obtained by the  
15 conversion and delivers this command to the policy  
enforcement function PEF.

For example, assume that

service category: GFR

peak cell rate: 26 Kcell/s

20 minimum cell rate: 8 Kcell/s

have been stored in the policy storing memory 114 by  
policy information which sets a path having a maximum  
band of 10 Mbps and a minimum band of 3 Mbps for a  
prescribed end to end. If under these conditions the  
25 policy administration function PAF receives, from the  
network management system NMS, abstracted policy  
information which includes a request to change the end-  
to-end maximum rate to 20 Mbps, it is required that the

0000260" 58499960

policy administration function PAF convert the maximum rate to the peak cell rate dependent upon ATM technology and attach the quality class and minimum cell rate to the peak cell rate that has been obtained, thereby  
5 reconstructing the action command. The policy administration function PAF therefore converts the maximum rate of 20 Mbps to an ATM peak cell rate of 52 Mbps using the ATM adaptation conversion rule. Next, the stored parameter mentioned above is read out of the  
10 policy storing memory 114 and the peak cell rate of 52 Kcell/s obtained by the conversion is substituted for the peak cell rate of 26 Kcell/s to thereby generate a new parameter indicated by the following:

service category: GFR  
15 peak cell rate: 52 Kcell/s  
minimum cell rate: 8 Kcell/s

The policy administration function PAF then creates an action command using this parameter and delivers the command to the policy enforcement function PEF.

20 If the arrangement described above is adopted, the system is made easier for the user to operate because it suffices to specify only the action that requires to be changed. Further, the desired technology-dependent parameters can be obtained in a short period of time  
25 merely by using a conversion rule to convert the action that requires to be changed and replacing some of the action parameters read out of the policy retaining memory with the parameters obtained by the conversion.

0000260-58499950

(f) Parameter conversion conforming to element type

In an actual network implemented in ATM, the network includes the unit (AAL) 101 having the ATM adaptation function, the units (vpTP) 103, 105 having the VP cross-connection function, and the units (vcTP) 102, 106 having the VC cross-connection function. As a consequence, it is necessary to convert technology-dependent parameters to parameters dependent upon the communication device that is to be set.

Fig. 5 is a diagram useful in describing conversion to element-dependent parameters. This is an explanatory view of VC provisioning, e.g., a case where the peak cell rate PCR of a VC cross-connection unit is increased by 26 K. Upon receiving a VC-generation action command in element units from the policy administration function PAF, the policy enforcement unit 121 sends this command to the element-dependent rule handler 122. The element-dependent rule handler 122 selects the action conversion rule 123a for VC if the network element to be set is an element having the VC cross-connection function, selects the action conversion rule 123b for VP if the network element to be set is an element having the VP cross-connection function, and converts the technology-dependent parameter to the element-dependent parameter using the action conversion rule selected.

For example, if the network element to be set is a VC cross-connection element, the action command is not

changed (command operation createTP # createTP, PCR 26 K  
# PCR 26 K). Further, if the network element to be set  
is a VP cross-connection element, the action command is  
changed to an action for increasing the band of the VP  
5 cross-connection in accordance with the action  
conversion rule 123b for VP (createTP #  
addTrafficParameter, PCR # addPCR).

The policy enforcement unit 121 accepts the  
converted action parameter dependent upon the network  
10 element from the element-dependent rule handler 122 and  
sets this parameter in the above-mentioned element. In  
actuality, the policy enforcement unit 121 performs an  
operation to change the attribute (maximum band) of a  
managed object correspondig to the network element to be  
15 set, this element being managed by the element  
management systems EMS. As a result, the NE-  
configuration manager (Fig. 1) subsequently sets the  
changed maximum band in the network element to be set.

(g) Parameter conversion conforming to function  
20 supported by network element

In an actual system, there are many cases in which  
even though it is possible for quality classes such as  
CBR, GFR and UBR to be supported, initially only CBR is  
supported and quality classes to be supported are added  
25 on successively as by adding on hardware and upgrading  
the version of software. In an actual network system,  
there are many cases where upgrading the version of a  
function is not performed for all elements at a stroke

000260" 5249960

but sequentially, as a result of which the quality classes supported by the elements differ. Accordingly, the policy enforcement function PEF adds on a rule for every addition/change of an element function, selects a  
5 prescribed conversion rule upon taking into account the function or number of versions of the network element to be changed, and converts technology-dependent parameters to element-dependent parameters using the selected conversion rule.

10 Fig. 6 is a diagram useful in describing a parameter conversion conforming to a supported function. This is an explanatory view in a case where the quality glass GFR has been requested in VC provisioning. It is assumed here that the network includes a mixture of  
15 elements that do and do not support the quality class GFR.

Upon receiving a VC-generation action in element units from the policy administration function PAF, the policy enforcement unit 121 sends this command to the  
20 element-dependent rule handler 122. The element-dependent rule handler 122 selects a conversion rule conforming to the number of versions of the element to be set and converts the action parameter (quality class). For example, if the element to be set is an  
25 element that does not support the GFR, the element-dependent rule handler 122 selects the action conversion rule 123a, changes the quality class GFR (partial band guarantee) to CBR (full band guarantee) in accordance

000260" 5849950

with this action conversion rule and deletes the minimum  
band MCR (minimum cell rate). On the other hand, if the  
element to be set is one which supports GFR, then the  
element-dependent rule handler 122 selects the action  
5 conversion rule 123b and executes conversion processing  
in accordance with this function conversion rule. It  
should be noted that if the element is one which  
supports GFR, quality class, maximum band and minimum  
band are not changed.

10 The policy enforcement unit 121 accepts the  
converted action parameter dependent upon the function  
supported by the element from the element-dependent rule  
handler 122 and sets this parameter in the network  
element.

15 (C) Processing of policy administration function  
Fig. 7 is a flowchart showing the operation of the  
policy administration function PAF.

The network management system NMS inputs policy  
information, which includes the execution location,  
20 execution conditions and execution content of an  
operation, to the policy administration function PAF  
domain by domain in the following format:

<target, condition, action 1, action 2>

25 If the policy disassembling unit 111 (Fig. 3) of  
the policy administration function PAF receives a policy  
request regarding a prescribed network technology (step  
1001), the policy disassembling unit 111 separates the  
action contents action 1, action 2, ... from the third

0000260" 5349960



item onward demarcated by the commas (step 1002). Next,  
on the basis of the three function types, namely the  
adaptation function, monitor function and protection  
function, of common model of the network, the policy  
5 disassembling unit 111 further separates the separated  
action contents into a band request, monitor request and  
duplexing switch request (step 1003). In the case of  
ATM, the functions of the three types mentioned above  
are a function for a conversion to an ATM layer, an ATM  
10 VC/CP monitor function and a duplexing switch function.  
Upon adding on technology identification data, the  
policy disassembling unit 111 delivers the action  
parameters separated into the three types (band request,  
monitoring request and duplexing switch request) to the  
15 rule handler 112 and requests a conversion.

Using the three types of conversion rules  
conforming to technology indicated by the identification  
data, namely (1) the parameter conversion rule ARL of  
the adaptation function, (2) the parameter conversion  
20 rule MRL of the monitor function and (3) the parameter  
conversion rule of the protection function, the rule  
handler 112 converts the reported band request, monitor  
request and duplexing switch request to respective  
technology-dependent parameters and reports these to the  
25 policy disassembling unit 111 (steps 1004a, 1004b,  
1004c). Though the three types of conversions are  
performed simultaneously in Fig. 7, the conversion  
processing can be executed in successive fashion.

000260"53499960

000260" 58799960

The policy disassembling unit 111 creates an action command based upon the technology-dependent parameter obtained by the conversion (step 1005) for every terminal indicated by target information (element information), which has been separated at step S1002, and delivers this action command to the policy enforcement function PEF that conforms to the network technology (step 1006). The policy enforcement function PEF then converts the technology-dependent parameter to an element-dependent parameter conforming to the element type.

Figs. 8A ~ 8I are diagrams (in case of ATM) useful in describing data in various parts (a) ~ (i) of the operation flowchart of the PAF. Fig. 8A is abstracted policy information reported from the network management system NMS. The following is specified by this policy information: "In communication from terminal TPa to terminal TPb from time 9:00 to 18:00, a maximum rate of 10 Mbps and a minimum guaranteed rate of 3 Mbps serves as the requested band, continuity monitor serves as the monitor request, and duplex is designated as the duplexing switch request".

Fig. 8B are action parameters that have been separated from the policy information and include the maximum rate 10 Mbps, the minimum rate 3 Mbps, continuity monitor and duplex. Figs. 8C to 8E are the results of further converting the above-described action parameters to the three types of parameters of band

request, monitor request and duplex switch request, in which Fig. 8D is the band request (maximum rate of 10 Mbps, minimum rate of 3 Mbps), Fig. 8D the monitor request (continuity monitor) and Fig. 8E is the duplex switch request (duplex).

Figs. 8F to 8H illustrate technology-dependent parameters obtained by converting each of the requests to ATM parameters using conversion rules. The adaptation conversion rule converts the band request (maximum rate of 10 Mbps, minimum rate of 3 Mbps) to the ATM peak cell rate of 26,000 cell/s, minimum cell rate of 8,000 cell/s and ATM service category GFR of the minimum guarantee (see Fig. 8F). The monitor request conversion rule converts the monitor request (continuity monitor) to "test type: VC continuity test, OAM cell rate: 20 cell/s, test mode: in-service" (see Fig. 8G). The protection conversion rule converts the duplex switching request (duplex) to "switch type: VP protection, pair group number: 10" (step 8H).

Fig. 8I is an action command delivered to the policy enforcement function PEF. The policy disassembling unit 111 refers to the target information (From: TPa To: TPz) and generates two action commands at terminals TPa and TPz with regard to technology-dependent parameters obtained by respective conversion rules. For example, the policy disassembling unit 111 generates an action command that includes converted parameters (peak cell rate of 26,000 cell/s, minimum

cell rate of 8,000 cell/s, service category GFR), which are for setting the band, and the terminal TPa, and an action command that includes the converted parameters for setting the band and the terminal TPz. The

5 generated action commands are set in ATM communication  
device through the policy enforcement function PEF for  
ATM.

Figs. 9 and 10 are processing flowcharts of the adaptation conversion rule ARL for converting the band request, in which Fig. 9 is the processing flowchart of an adaptation conversion rule in ATM technology and Fig. 10 is the processing flowchart of an adaptation conversion rule in SDH technology.

In Fig. 9, if the rule handler 112 (Fig. 3) receives the maximum rate of 10 Mbps and the minimum rate of 3 Mbps as the action parameters of ATM technology from the policy disassembling unit 111 (step 1101), the rule handler 112 thenceforth converts these parameters to parameters dependent upon the ATM technology using the adaptation conversion rule of ATM technology.

To make a conversion to an ATM parameter, it is necessary to increase the rate by an amount equivalent to the header (footer), which is inserted into the ATM payload, and the ATM cell header. The length of the header inserted into a payload PDO is 4 bytes per 44 bytes in case of the ATM 3/4 type. The rate, therefore, is increased by 9%. More specifically, if the requested

rate is X (bps), then a rate of  $X \times (48/44)$  (bps) is necessary to insert the header and footer. Further, it is necessary to increase the band by 5 bytes per 48 bytes as the ATM cell header, and therefore it is  
5 required that the rate be increased further by a factor of 53/48. More specifically, if a rate of X (bps) is requested, then, with ATM technology, a total of  $X \times (48/44) \times (53/48)$  (bps) is required. If this is converted to cell rate (one cell is  $53 \times 8$  bits), then  
10 the required band will be

$$[X \times (48/44) \times (53/48)] / (53 \times 8) \text{ (cell/s)}$$

Accordingly, the cell handler 112 calculates the ATM cell rate using the above-cited formula (step 1102). As a result, a peak cell rate of 26 Kcell/s and a minimum  
15 cell rate of 8 Kcell/s are obtained. It should be noted that it is necessary to take the OAM cell into consideration as well.

Next, the rule handler 112 adds on the service category (step 1103). In the case of ATM, the quality  
20 is clearly identified and set in the ATM communication device. If the minimum rate is guaranteed, GFR (Guaranteed Frame Rate) is set. Accordingly, it is determined from the policy information whether a minimum rate has been requested (step 1103a). If the answer is  
25 "YES", then GFR is added on as the ATM service category (step 1103b). If only the peak rate has been requested, CBR is added on (step 1103b).

The rule handler 112 answers the policy

000260" 58499360

disassembling unit 111 with the ATM service category, peak cell rate and minimum cell rate obtained by the processing described above.

The foregoing is for implementation in ATM. In  
5 case of implementation in SDH, as shown in Fig. 10, the rule handler 112 receives the maximum rate of 10 Mbps and the minimum rate of 3 Mbps as the SDH-technology action parameters from the policy disassembling unit 111 (step 1201). Next, the rule handler 112 converts these  
10 parameters to parameters dependent upon the SDH technology using the SDH-technology adaptation conversion rule.

In case of SDH implementation, the user decides beforehand that 6 M and 45 M are the bands used.  
15 Accordingly, the SDH-technology adaptation conversion rule decides the band of SDH upon taking the requested maximum band (10 Mbps) into consideration (step 1202). That is, it is determined whether the maximum band is equal to or less than 6 M or between 6 M and 45 M (step  
20 1202a). If the maximum band is equal to or less than 6 M, then a VC21 container is selected as the service category (step 1202b). If the maximum band is between 6 M and 45 M, then a VC32 container is selected as the service category (step 1202c). The selected service  
25 category is sent to the policy disassembling unit 111 as the answer.

Figs. 11A, 11B show examples in which a monitor request

000260" 53499360  
0966485 092000

has been converted by a monitor-related conversion rule, in which Fig. 11A shows ATM-technology-dependent parameters after conversion and Fig. 11B shows SDH-technology-dependent parameters after conversion.

In case of a continuity-monitor request, it is converted to a VC characteristic test parameter, as shown in Fig. 11A, in the ATM implementation. In the case of the SDH implementation, if a path-trace function of a virtual container is used as substitution means of an in-service test, the parameter dependent upon the SDH technology will be as follows, as illustrated in Fig. 11B:

TestCategory: SDH PathTrace

15           Figs. 11C, 11D show examples in which a duplex  
request

Protection: Duplex

has been converted by a protection-related conversion rule, in which Fig. 11C shows ATM-technology-dependent parameters after conversion and Fig. 11D shows SDH-technology-dependent parameters after conversion. In case of the duplex request, a conversion is made to a VP protection parameter, as shown in Fig. 11C, in the ATM implementation. In the case of path changeover, it is required that the working and protection pair be managed and, hence, a changeover management number (pair group number) is added on. In the case of the SDH implementation, the parameter dependent upon the SDH

technology will be as follows, as illustrated in Fig.  
11D:

protectionCategory: SDHprotection

If only the SDH-section switch function is supported,  
5 working/protection is fixedly allocated within the SDH  
device. A changeover management number, therefore, is  
unnecessary.

(D) Processing of policy enforcement function

Fig. 12 is a flowchart illustrating the operation  
10 of the policy enforcement function PEF.

The policy administration function PAF inputs an  
action command (Fig. 8I), which includes the function  
name, execution location (vcTP-ID) and execution  
content, to the policy enforcement function PEF.

15 The policy enforcement unit 121 (Fig. 3) of the  
policy enforcement function PEF accepts the action  
command on a per-element basis (step 2001) and delivers  
the function to the rule handler 122.

Upon receiving the action command, the rule handler  
20 122 refers to the element model within the element  
management system EMSi to determine whether the action  
is actually capable of being set. If the action can be  
set, then the rule handler 122 sets the action. In a  
case where a change is necessary, the rule handler 122  
25 changes the action command. That is, the rule handler  
122 searches for a managed object within the element  
management system EMSi (step 2002) and checks to see  
whether an execution location (object to be set) exists

000260" 5349960



If an object to be set does not exist, the rule handler 122 searches for a substitutable object to be set and, if one is found, calls a conversion rule and converts the action command to an action command with regard to the substitutable object to be set (step 2004). If an object to be set exists in the managed object, the action command is not changed.

20           Finally, the rule handler 122 delivers the action command obtained by the above-described processing to the policy enforcement unit 121 (step 2008). The policy enforcement unit 121 then sets the action command in the element.

25            Figs. 13A ~ 13D are diagrams (in case of ATM)  
useful in describing data in various parts (a) ~ (d) of  
the operation flowchart of the PEF. This data will be  
used to describe the processing of Fig. 12.

Fig. 13A shows a create TP action command received from the policy administration function PAF. This command generates, within an element, a vcTP that satisfies the service category GFR, peak cell rate of 26 K and minimum cell rate of 8 K.

Upon receiving the above-described action command from the policy enforcement unit 121, the rule handler 122 refers to the managed object with the EMSi and determines whether a vcTP, which is the object to be set, exists (step 2003).

If the vcTP, which is the object of the action, exists, the action command is not changed. If an vcTP is absent, however, the rule handler 122 calls a conversion rule and changes the object to be set from vcTP to a substitutable vpTP (step 2004). Fig. 14 is a diagram useful in describing the processing of a conversion rule for changing an object to be set. The rule handler 122 changes the operation name in the action command from createTP to addTrafficParameter (step 2004a) and then changes the object to be set (target ID) from vcTP to vpTP (step 2004b). As a result, the action command is changed as illustrated in Fig. 13B. The command createTP creates the TP (termination point) of the designated band and changes the band of the existing TP to the designated band. The command addTrafficParameter increases the band of the existing TP by the amount of the designated band.

Next, if vcTP exists, the rule handler 122 reads

000260"58499950

quality information supported by the element out of the managed object within the element management system EMSi (step 2005). If the element does not support GFR, the rule handler 122 calls a conversion rule and changes the service category within the action command from GFR to CBR by this conversion rule (step 2007). Fig. 15 is a diagram useful in describing the processing of a conversion rule in a case where the ATM service quality supported by an element does not guarantee the minimum rate and fully guarantees only the maximum rate. The rule handler 122 changes the service category within the action command from GFR to CBR (step 2007a). Next, the rule handler 122 deletes the minimum-rate designation within the action command from the action command since this designation is unnecessary (step 2007b). As a result, the action command is changed as shown in Figs. 13C ~ 13D.

Finally, the rule handler 122 delivers the action command obtained by the above-described processing to the policy enforcement unit 121 and the policy enforcement unit 121 sets the action command in the element (step 2008).

Though a common policy enforcement function is provided for network technologies, one can be provided in the element management systems EMS1 ~ EMS3 of each of the technologies.

Thus, in accordance with the present invention, as described above, policy detailing is automated. As a

result, it is possible to reduce the know-how and learning necessary for network settings and to speed up and simplify network settings on the user side, and to make it possible to shorten the time necessary to change  
5 to a new service on the side of the public network, as a result of which the cost of changing a network configuration is reduced.

In accordance with the present invention, a policy detailing function is systematized. As a result, the  
10 policy detailing function can be changed and used more widely and it is easy to provide multiple values for functions and to convert functions.

In accordance with the present invention, it is so arranged that a conversion can be made automatically  
15 from the action parameter of abstracted policy information that is independent of network technology to a parameter that is dependent upon network technology. As a result, the operator of a network is capable of setting a parameter in an element merely by inputting  
20 abstracted policy information that is independent of the network.

In accordance with the present invention, a policy detailing function is separated into (1) a policy administration function provided commonly for the  
25 network technologies and (2) policy enforcement functions provided for corresponding ones of network technologies. As a result, when hardware/software is added on or updated, this can be dealt with merely by

0000260" 52499960

adding on a technology-dependent conversion rule of the policy enforcement function. This makes it easy to change the system.

In accordance with the present invention, (1) a  
5 conversion rule relating to adaptation, (2) a conversion rule relating to monitoring and (3) a conversion rule relating to protection are provided for dealing with respective network technologies, and an action parameter contained in abstracted policy information is separated  
10 into (1) a parameter relating to adaptation, (2) a parameter relating to monitoring and (3) a parameter relating to protection, and a prescribed parameter conversion rule is applied to each of these parameters to effect a conversion to parameters that are dependent  
15 upon network technology. This makes it possible to achieve all settings needed by the user while an increase in conversion rules is prevented.

Desired technology-dependent parameters can be obtained by storing past results of conversion  
20 (technology-dependent parameters) in a policy storing memory, converting an action which requires changing by using a conversion rule, reading action parameters out of the policy storing memory, and replacing some of the parameters that have been read out with parameters that  
25 have been obtained by the conversion. As a result, the system can be made easier for the user to operate because it suffices to specify only the action that requires to be changed.

000250" 5249360

The present invention is such that even if elements having different functions (VC-connection elements, VP-connection elements, etc.) exist in the same network technology, parameters suited to the functions of the  
5 element to be set can be set.

The present invention is such that even if elements having functions (e.g., quality class) that differ dependent upon the element version exist, parameters suited to the element version and function of a device  
10 to be set can be set.

As many apparently widely different embodiments of the present invention can be made without departing from the spirit and scope thereof, it is to be understood that the invention is not limited to the specific  
15 embodiments thereof except as defined in the appended claims.

000250" 53499350

1. A communication network system for converting action parameters contained in policy information obtained by abstracting network-related user requirements to parameters conforming to network technology and type of network element, and setting these parameters in the network element, said system comprising:

second conversion means for converting the parameters, which have been obtained by the conversion by said first conversion means, to parameters dependent upon type of network element and setting these parameters in the network element.

policy disassembling means for disassembling the abstracted policy information, extracting the action parameters and outputting the same;

conversion means for selecting a conversion rule conforming to a network technology and converting the action parameters to network-technology-dependent

3. The system according to claim 1, wherein said second conversion means includes:

5    technology-dependent parameters from said first  
conversion means and setting, in a network element,  
element-dependent parameters obtained by converting the  
network-technology-dependent parameters;

```

10  conversion rules used when the network-technology-
    dependent parameters are converted to element-dependent
    parameters; and

```

conforming to type of network element and converting the  
15 network-technology-dependent parameters to element-  
dependent parameters using the selected conversion rule.

first conversion means:

20 conversion rules for every network technology; and

based upon a network technology and converts the action parameters to network-technology-dependent parameters using the selected conversion rule.

conversion-rule storage means stores the following as  
conversion rules for converting the action parameters to  
network-technology-dependent parameters:



5           said policy disassembling means disassembles the  
action parameters into (1) a parameter relating to  
adaptation, (2) a parameter relating to monitoring and  
(3) a parameter relating to protection; and

7. The system according to claim 3, wherein in said second conversion means:

said rule-conversion storage means stores  
conversion rules on a per-element-type basis; and  
25       said conversion means selects a conversion rule  
based upon the type of element and converts network-  
technology-dependent parameters to element-dependent  
parameters using the selected conversion rule.

8. The system according to claim 3, wherein in said second conversion means:

said conversion-rule storage means adds on a conversion rule whenever a function of a network element  
5 is added on or changed; and

said conversion means selects a prescribed conversion rule upon taking the function of a network element or the number of versions of a network element into consideration, and converts the network-technology-  
10 dependent parameters to the element-dependent parameters using the selected conversion rule.

000260" 58499360

ABSTRACT OF THE DISCLOSURE

Disclosed is a communication network management system for converting action parameters contained in abstract requirements (abstract policy information) regarding a network to parameters conforming to the network technology (ATM, SDH, WDM, etc.) and type of network element to be set, and setting these parameters in the element. Specifically, a policy administration portion converts action parameters contained in abstract policy information to parameters dependent upon network technology and a policy enforcement portion converts the parameters obtained by this conversion to parameters dependent upon type of network element to be set and sets these parameters in the element.

FIG. 1

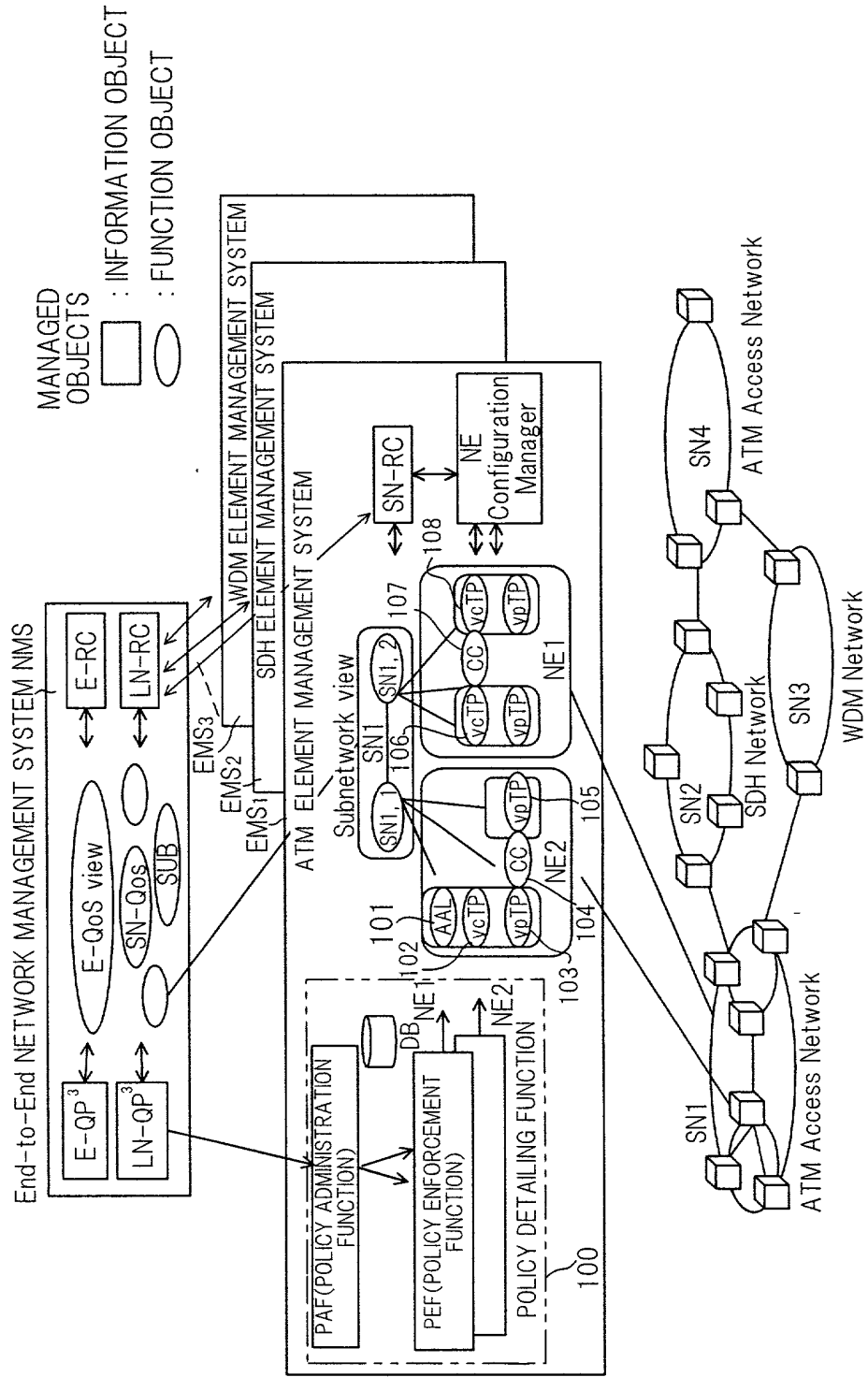


FIG. 2

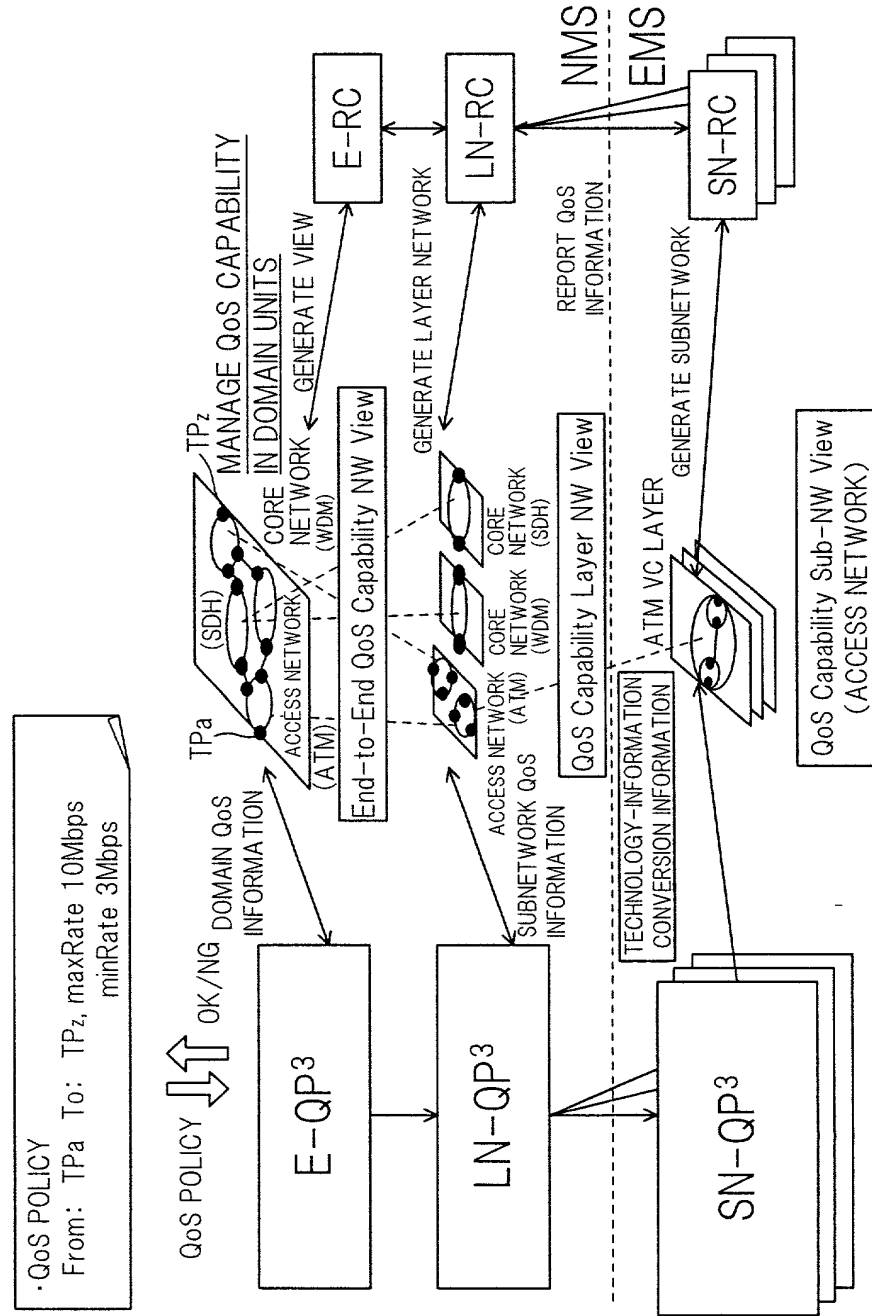


FIG. 3

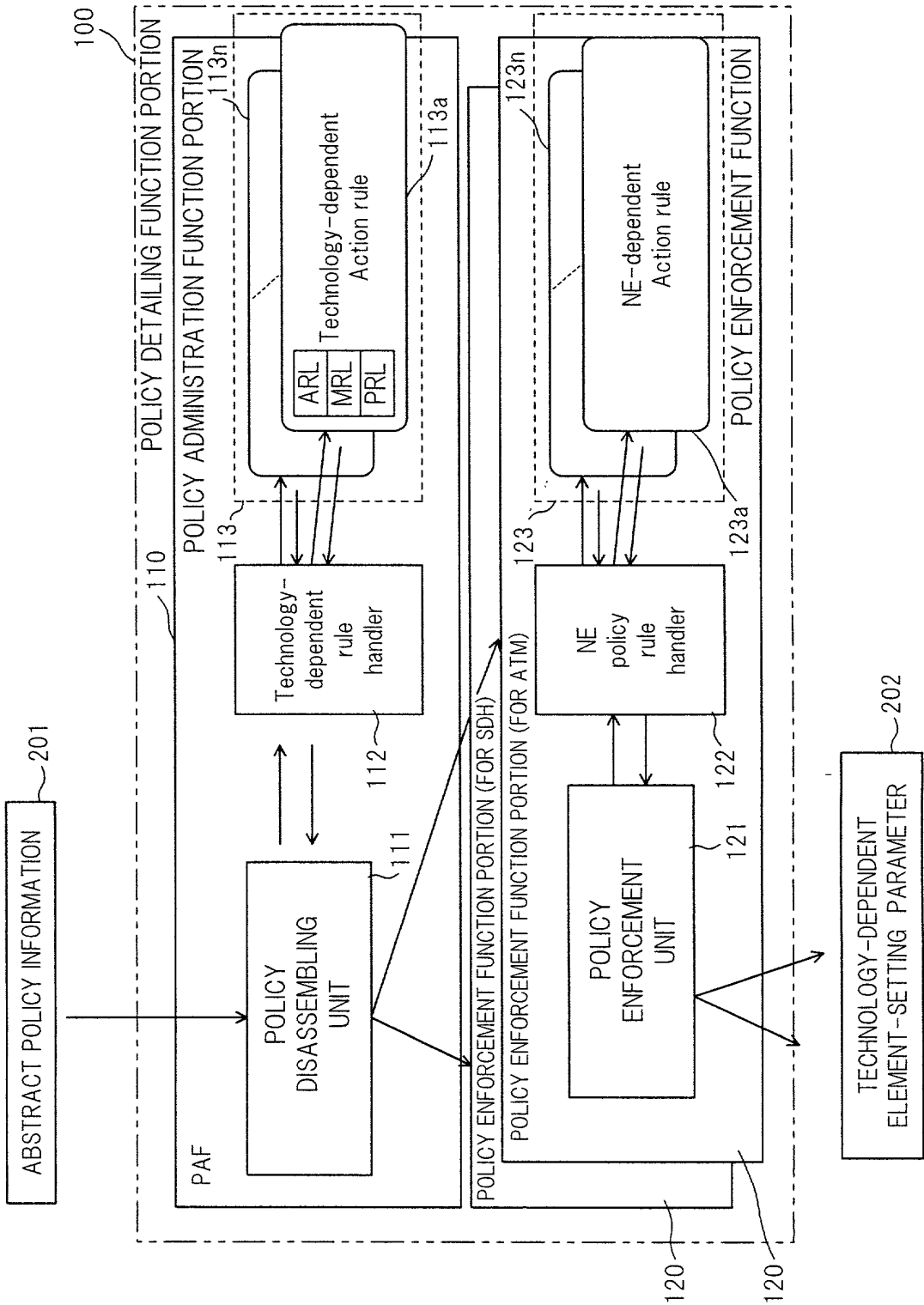


FIG. 4

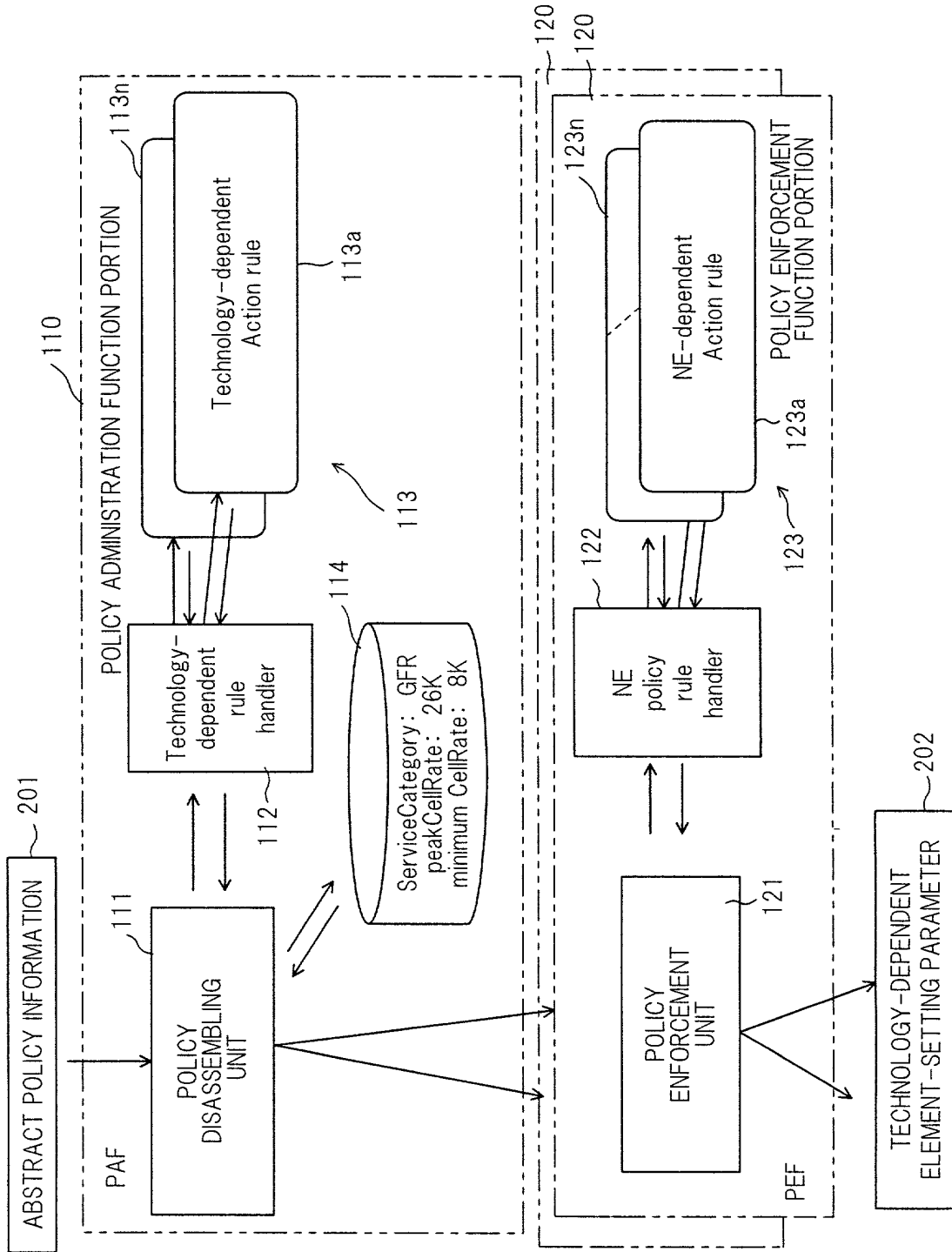


FIG. 5

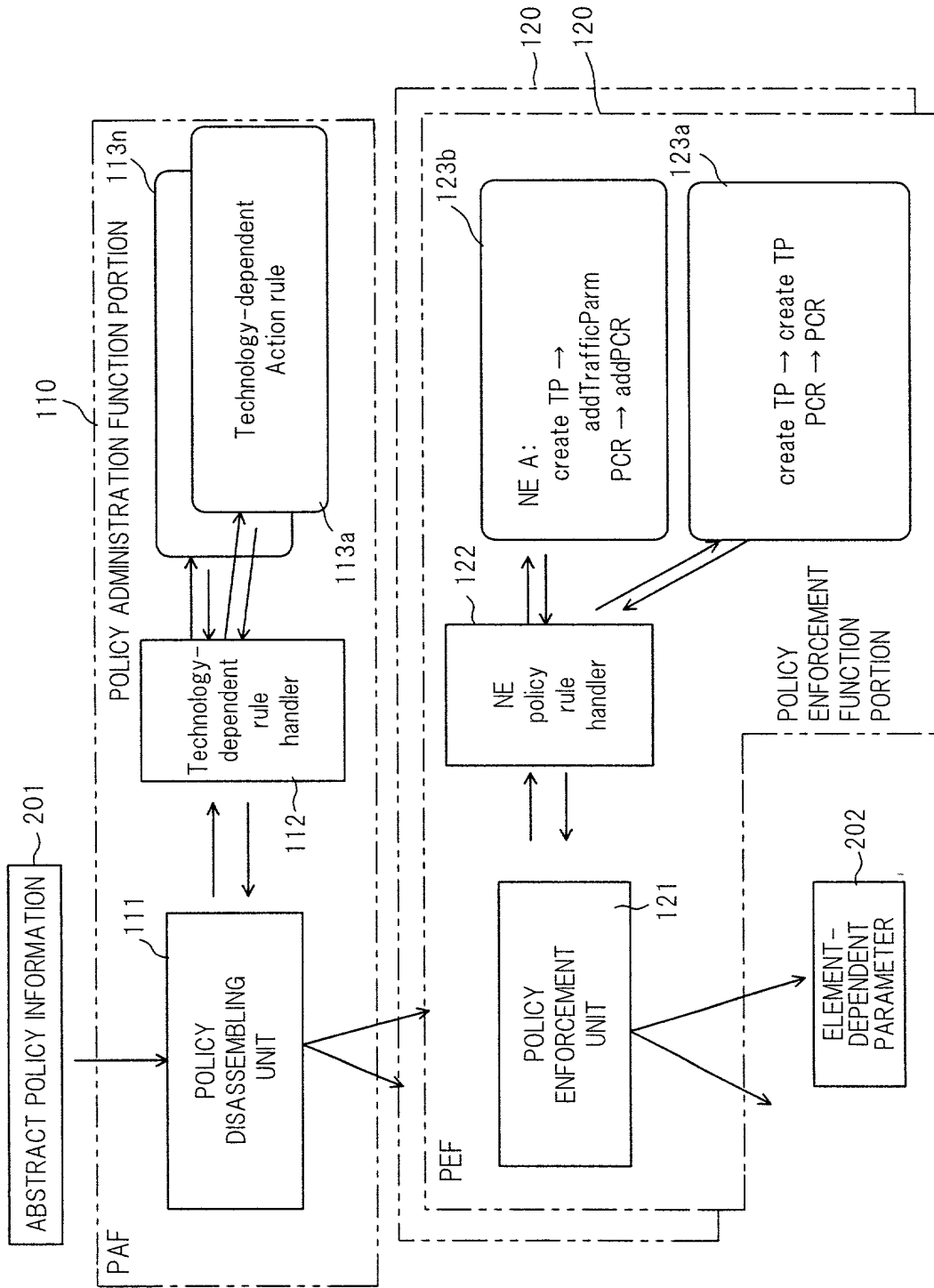




FIG. 6

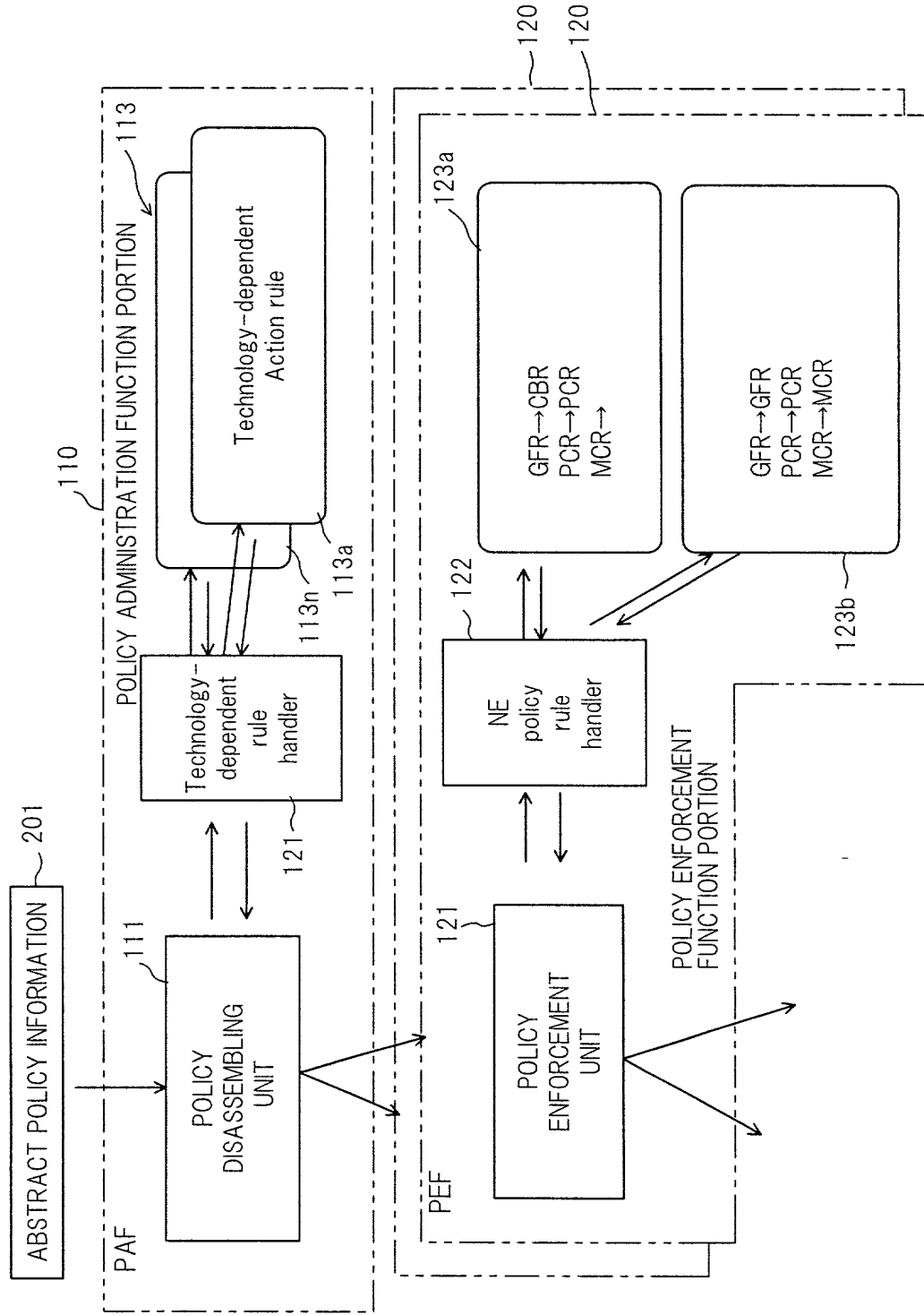
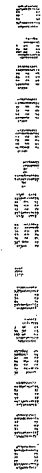


Table 1. Demographic characteristics of the study population	
Age (years)	65.5 ± 1.2
Gender (male/female)	10/10
Education (years)	12.5 ± 0.5
Occupation (white/blue)	10/10
Marital status (married/divorced/widowed)	10/10/0
Smoking status (smoker/non-smoker)	10/10
Alcohol consumption (yes/no)	10/10
Comorbidities (hypertension/diabetes/cholesterol)	10/10/10
Medication (antihypertensive/antidiabetic/anticholesterol)	10/10/10
Family history (hypertension/diabetes/cholesterol)	10/10/10
Physical activity (yes/no)	10/10
Stress level (low/moderate/high)	10/10/10
Sleep quality (good/poor)	10/10
Depression score (0-10)	2.5 ± 0.5
Anxiety score (0-10)	3.5 ± 0.5
Life satisfaction score (0-10)	7.5 ± 0.5
Health-related quality of life score (0-10)	8.5 ± 0.5
Overall health status (good/fair/poor)	10/10/10
Study duration (months)	12 ± 1
Dropouts (n)	0
Final sample size (n)	20



*FIG. 8A*

<From: TPa To: TPz,  
FromTime: 9 ToTime: 18,  
maxRate: 10Mbps minRate: 3Mbps  
monitor: continuityMonitor  
Protection: Duplex

(a)

*FIG. 8B*

maximumRate: 10Mbps minimumRate: 3Mbps  
monitor: continuityMonitor  
protection: Duplex

(b)

*FIG. 8C*

Maximum Rate: 10Mbps MinimumRate: 3Mbps

(c)

*FIG. 8D*

monitor: continuityMonitor

(d)

*FIG. 8E*

<Protection: Duplex>

(e)

*FIG. 8F*

ServiceCategory: GFR  
peakCellRate: 26Kcell/sec  
minumCellRate: 8Kcell/s

(f)

*FIG. 8G*

TestCategory: VCcharacteristicTest  
oamCellRate: 20cell/sec  
Mode:In-service>

(g)

*FIG. 8H*

protectionCategory: VPprotection  
paiGrobeNumber: 10

(h)

*FIG. 8I*

ACTION COMMAND

(i)

OPERATION: createTP action  
INPUTPARAMETERS:  
targetID: vcTP\_ID  
ServiceCategory: GFR  
peakCellRate: 26K  
minimumCellRate: 8K

FIG. 9

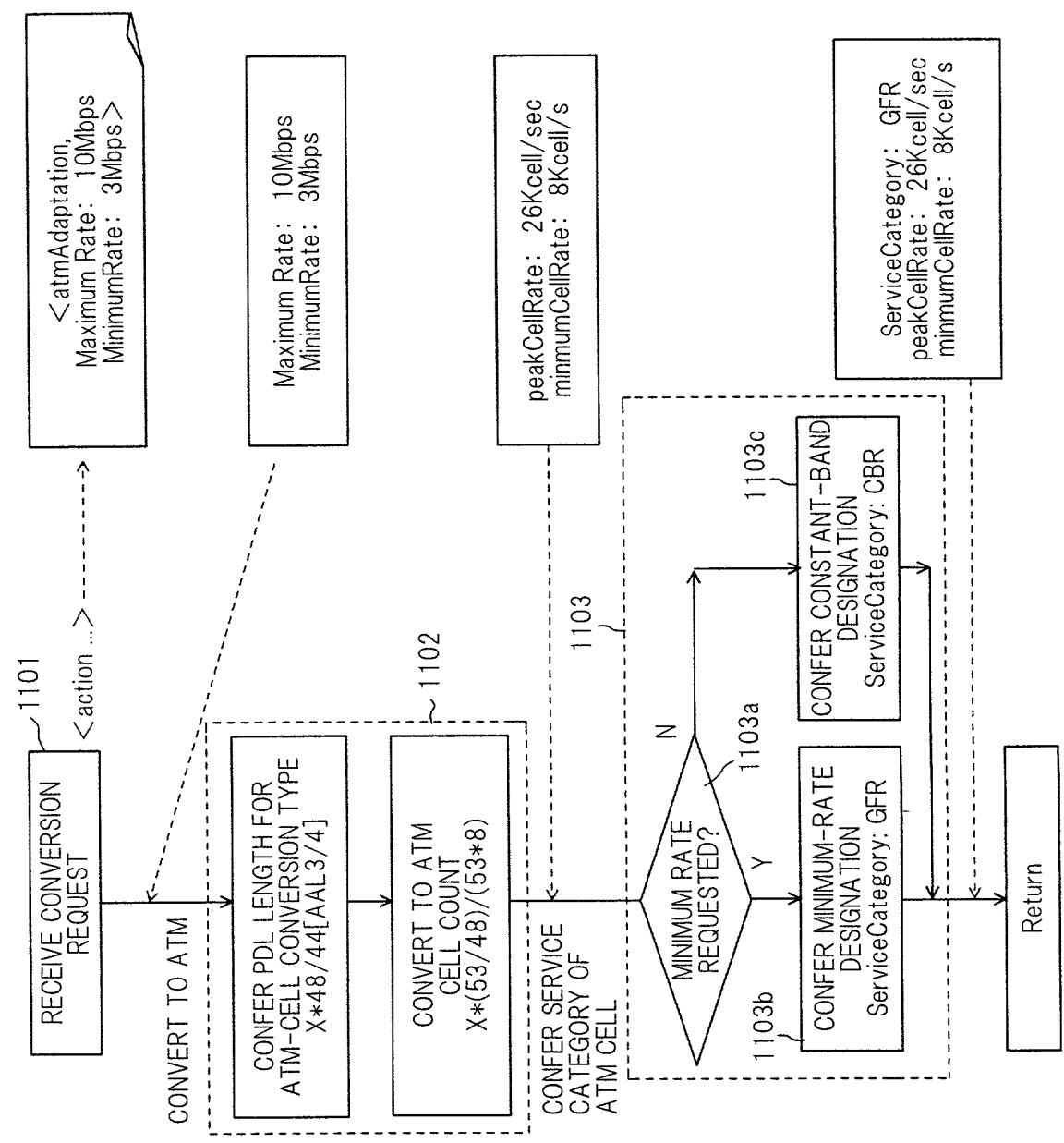
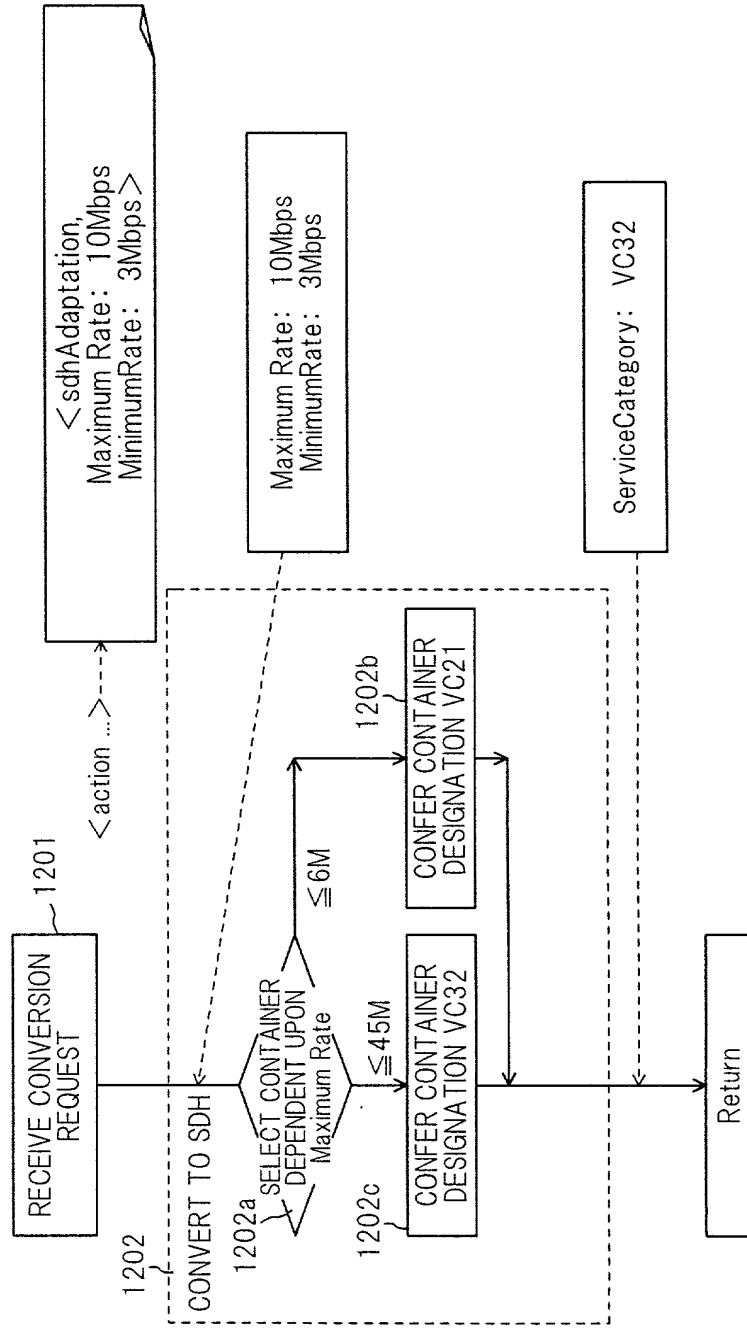


FIG. 10



*FIG. 11A*

TestCategory: VCcharacteristicTest  
oamCellRate: 20cell/sec  
Mode: In-service>

*FIG. 11B*

TestCategory: SDH PathTrace

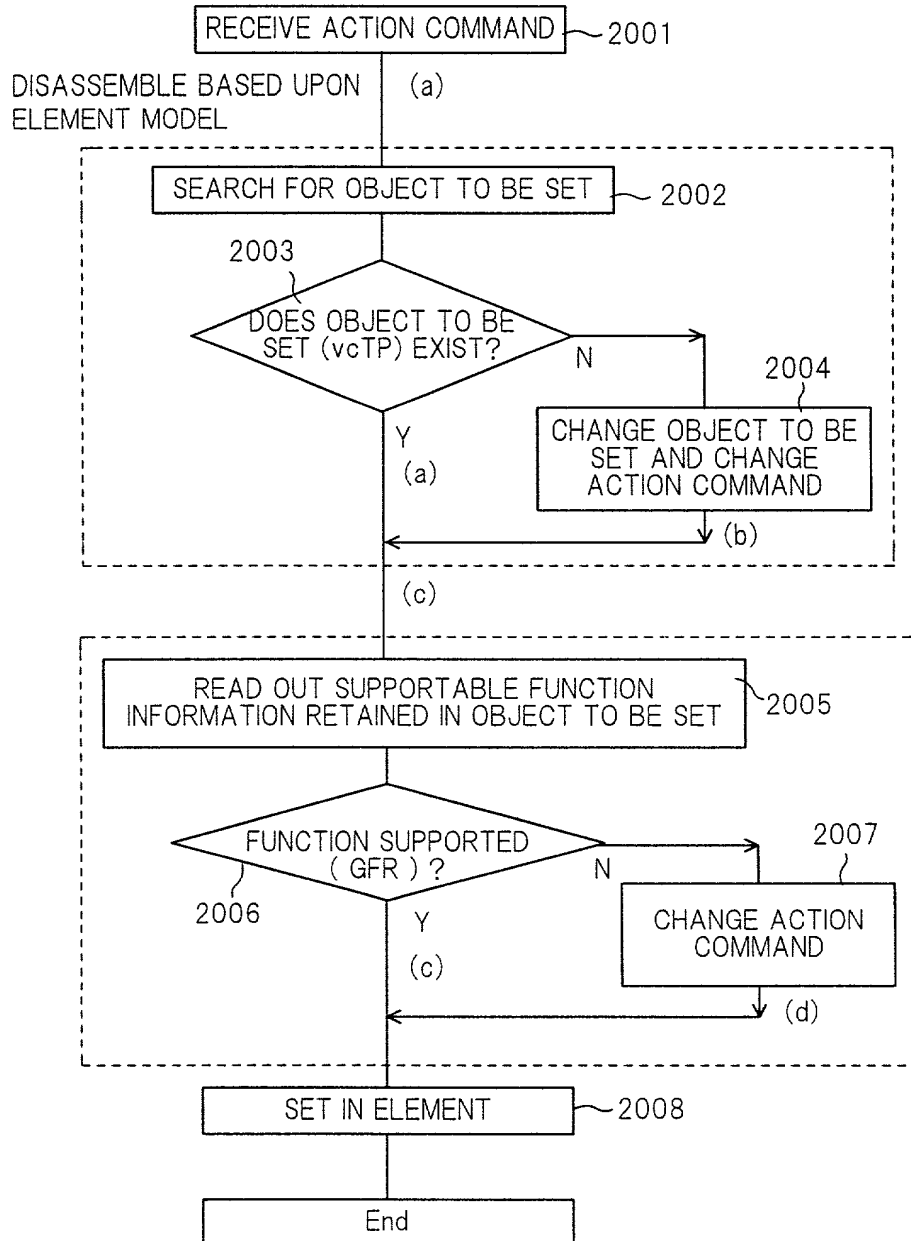
*FIG. 11C*

protectionCategory: VPprotection  
pairGroupNumber: 10

*FIG. 11D*

protectionCategory: SDHprotection

FIG. 12



**FIG. 13A**

## ACTION COMMAND

OPERATION: createTP action

INPUTPARAMETERS:

targetID: vcTP\_ID

ServiceCategory: GFR

peakCellRate: 26K

minimumCellRate: 8K

INFORMATION CONCERNING  
OBJECT TO BE SETINFORMATION CONCERNING  
FUNCTION

(a)

**FIG. 13B**

OPERATION: addTrafficParam action

INPUTPARAMETERS:

targetID: vpTP\_ID

ServiceCategory: GFR

peakCellRate: 26K

minimumCellRate: 8K

(b)

**FIG. 13C**

OPERATION: createTP action

INPUTPARAMETERS:

targetID: vcTP\_ID

ServiceCategory: GFR

peakCellRate: 26K

minimumCellRate: 8K

(c)

**FIG. 13D**

OPERATION: createTP action

INPUTPARAMETERS:

targetID: vcTP\_ID

ServiceCategory: CBR

peakCellRate: 26K

(d)



FIG. 14

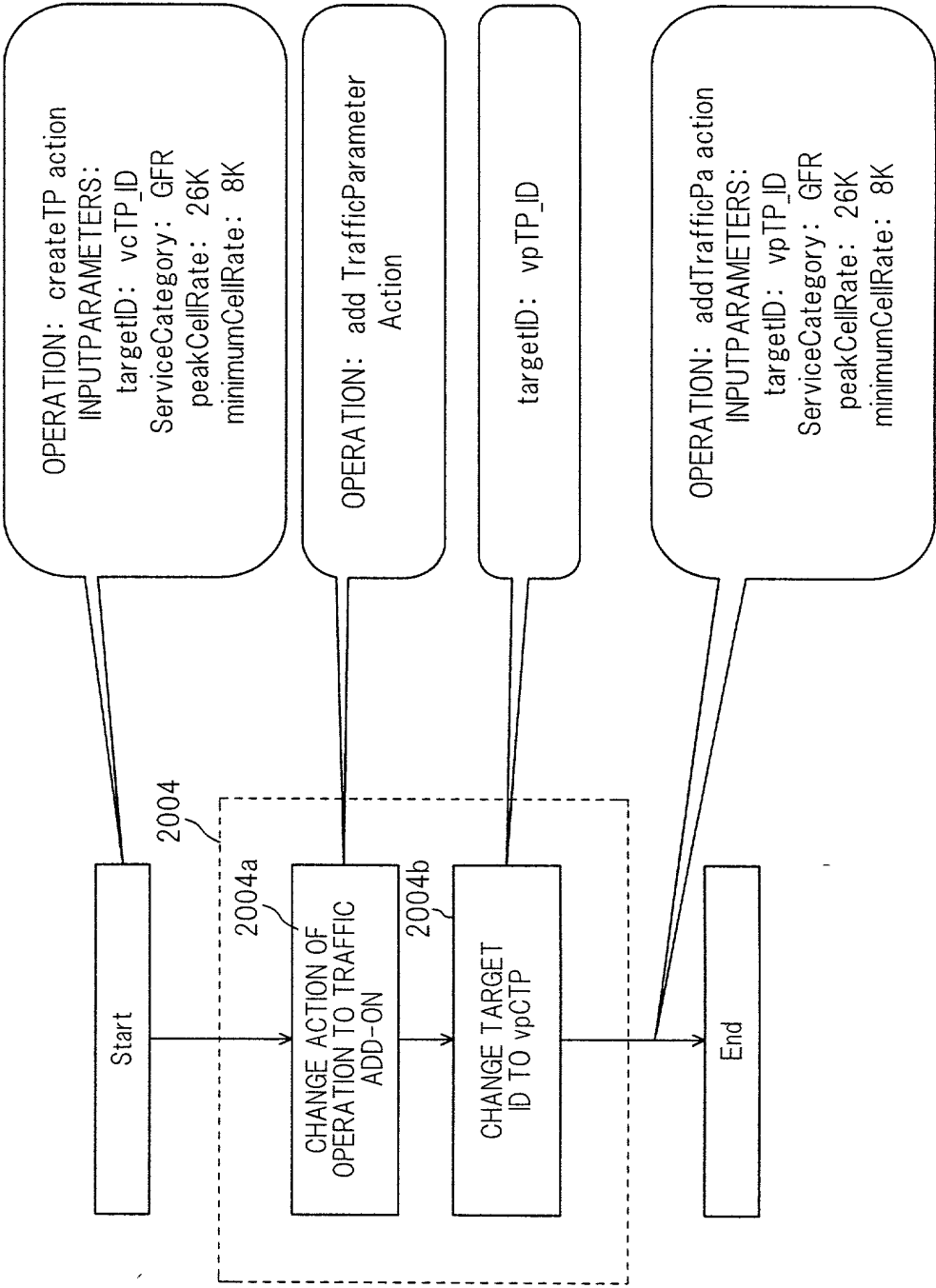


FIG. 15

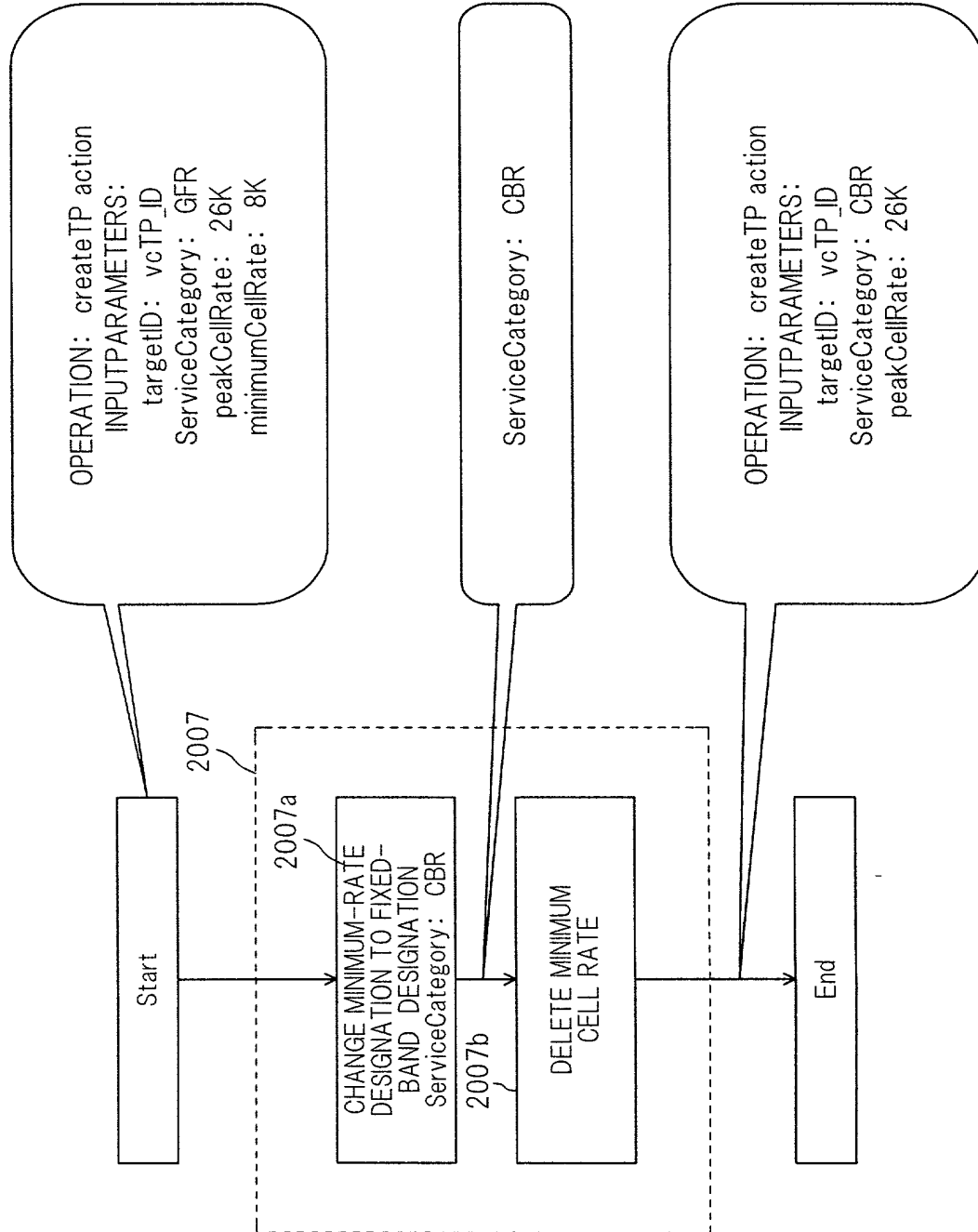


FIG. 16 PRIOR ART

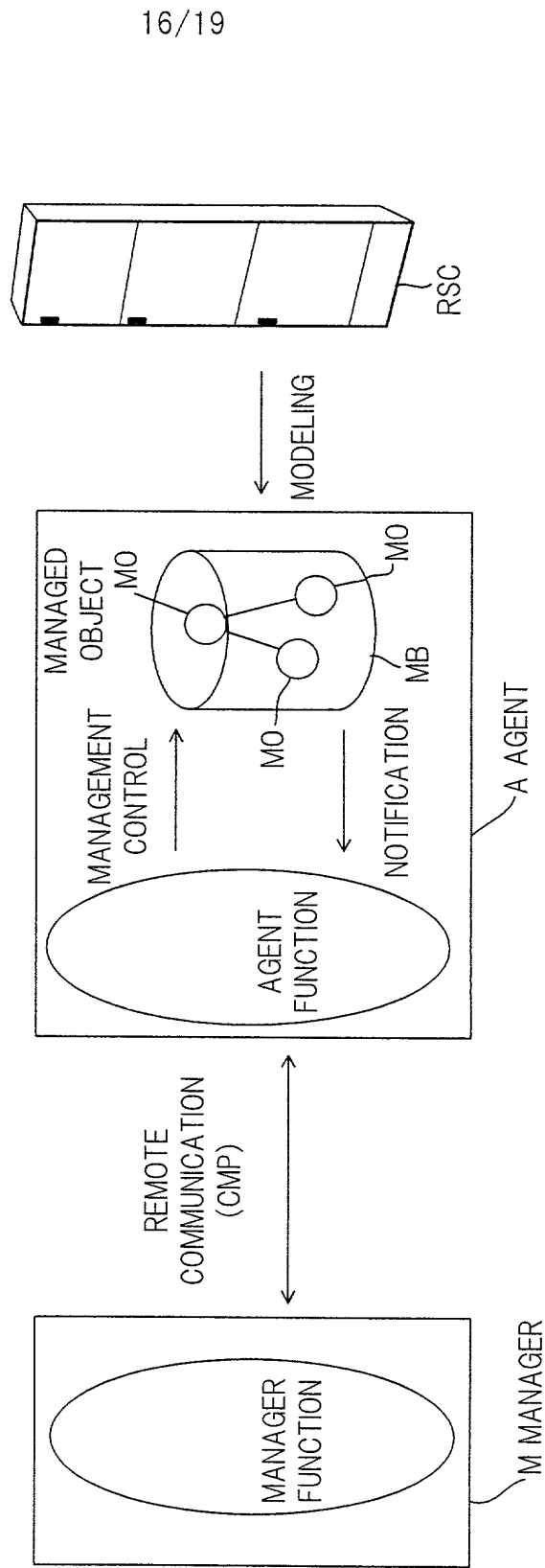


FIG. 17 PRIOR ART

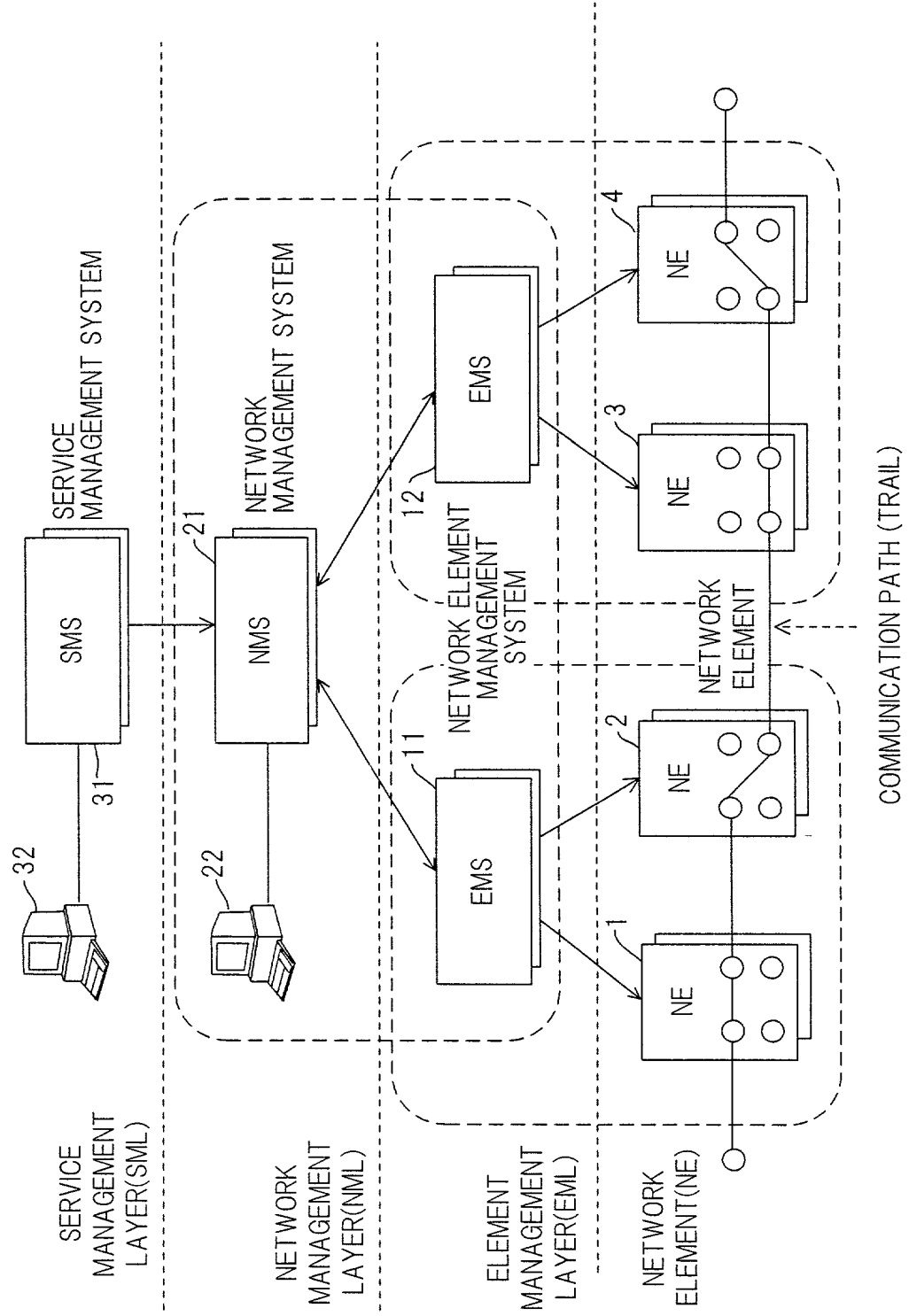
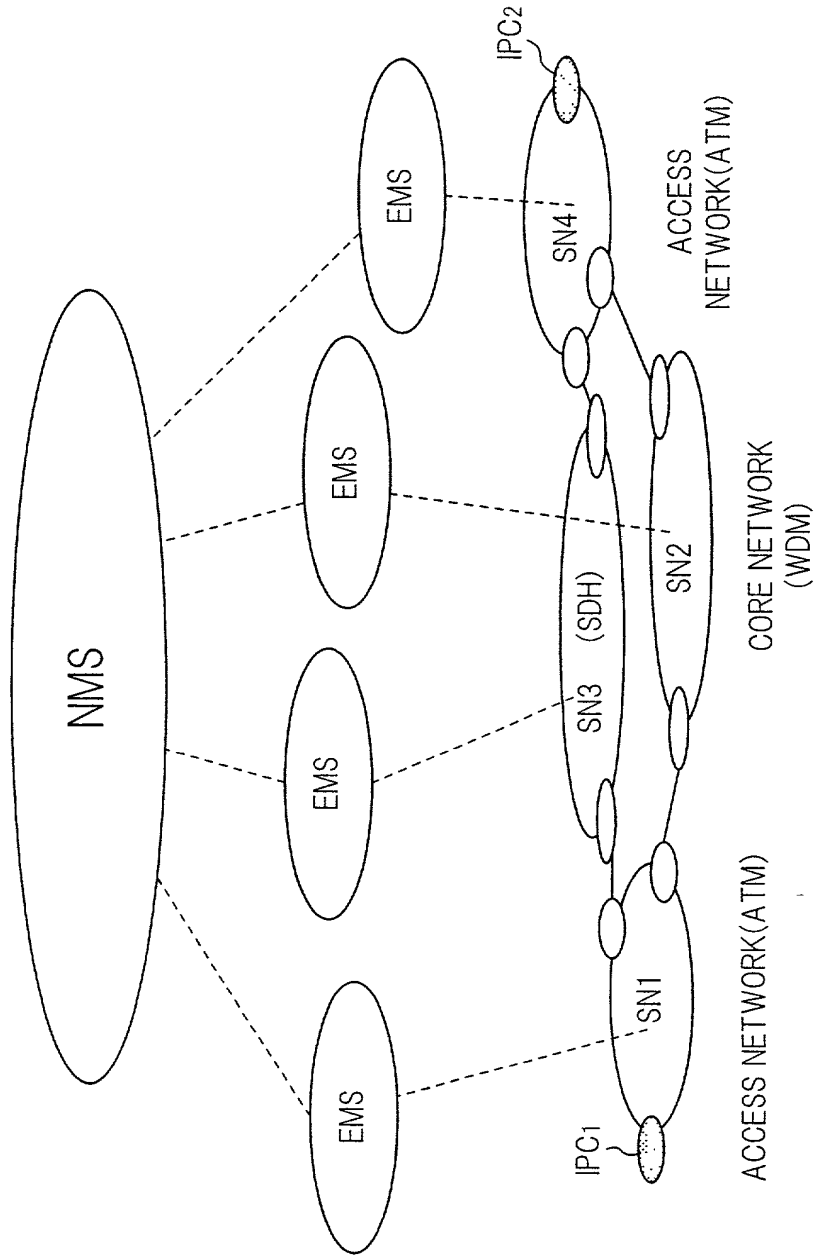
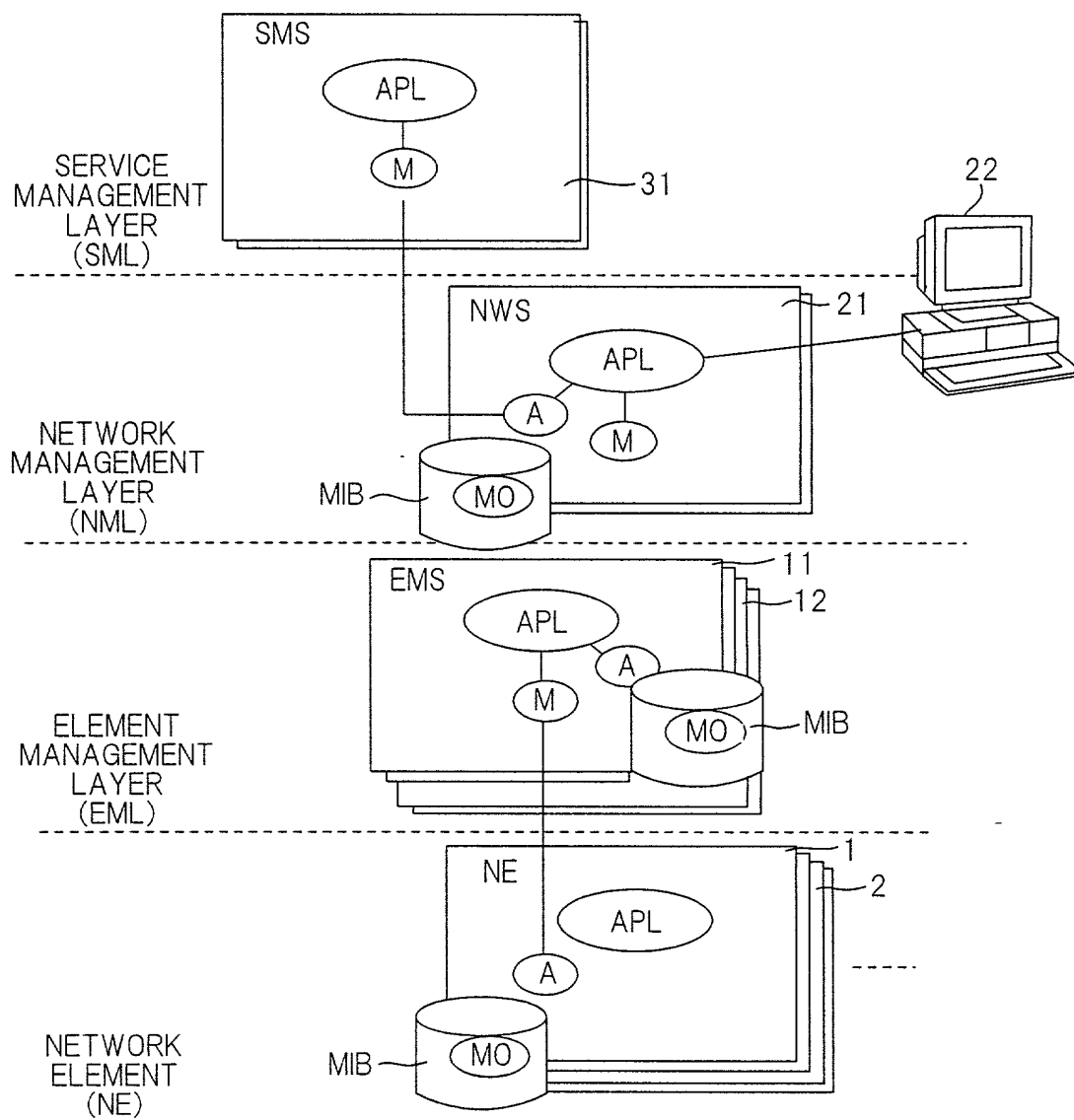


FIG. 18



*FIG. 19 PRIOR ART*

## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMMUNICATION NETWORK MANAGEMENT  
SYSTEM

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☐ was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

#### Prior Foreign Application(s)

外国での先行出願  
TOKUGANHEI 11-322015

(Number)  
(番号)

Japan

(Country)  
(国名)

(Number)  
(番号)

(Country)  
(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米国外特許出願規定に記載された権利をここに主張いたします。

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国外特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国外特許出願に開示されていない限り、その先行米国外特許提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

12/11/1999

(Day/Month/Year Filed)  
(出願年月日)

(Day/Month/Year Filed)  
(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*list name and registration number*)

HELFGOTT & KARAS, P.C.  
Empire State Building, 60th Floor  
New York, New York 10118  
United States of America

Helfgott & Karas, P.C.  
(212) 643-5000

(Supply similar information and signature for third and subsequent joint inventors.)

*第三共同発明者		Full name of third joint inventor, if any Kohei Iseda	
第三共同発明者	日付	Third inventor's signature <i>Kohei Iseda</i>	Date 14 September 2000
住 所		Residence Kawasaki, Japan	
国 籍		Citizenship Japanese	
私書箱		Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan	
第四共同発明者		Full name of fourth joint inventor, if any	
第四共同発明者	日付	Fourth inventor's signature	Date
住 所		Residence	
国 籍		Citizenship	
私書箱		Post Office Address	

第五共同発明者		Full name of fifth joint inventor, if any	
第五共同発明者	日付	Fifth inventor's signature	Date
住 所		Residence	
国 籍		Citizenship	
私書箱		Post Office Address	
第六共同発明者		Full name of sixth joint inventor, if any	
第六共同発明者	日付	Sixth inventor's signature	Date
住 所		Residence	
国 籍		Citizenship	
私書箱		Post Office Address	

(第七以降の共同発明者についても同様に  
記載し、署名をすること)

(Supply similar information and signature for  
seventh and subsequent joint inventors.)

